

**DBTechExt, Helsinki, Nov. 2010**

**New Technology Trends And Challenges  
For The Database Professional  
- Challenges For Database Education -**

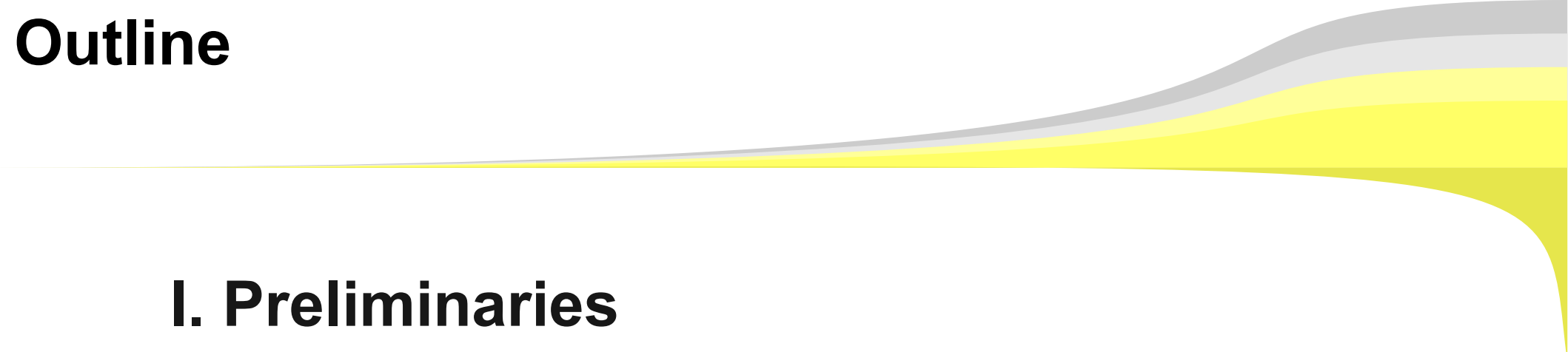
# **From Flat to Complex Transactions**

Tim Lessner, PhD student  
Prof. Dr. rer. nat. Fritz Laux

# Questions & Focus

- **What is a Transaction?**  
*(assumed to be known already)*
  - **What is a Flat Transaction?**  
*(assumed to be known already)*
  - **What makes a transaction complex?**
- **Transactional Interaction**
  - **Our Research**

# Outline

A decorative graphic consisting of several overlapping, wavy, horizontal bands of yellow and grey, located in the top right corner of the slide.

**I. Preliminaries**

**II. What makes a transaction complex?**

**III. Concepts and transaction models**

**IV. Technologies**

**V. Our Research**

# Preliminaries

- ***Why do we need the transaction concept?***

Ensure always correct data under all circumstances.

- ***What is a transaction?***

**Originally:** a transaction is an **ACID** (Atomicity, Consistency, Isolation, Durability) unit of work [Gray, 1993]

*However, the term has evolved, e.g., Business Process-, Workflow-, User-Transaction.*

- ***What is TM?***

TM encompasses all models, frameworks and physical instances required to enable the successful (ACID preserving) execution of concurrent transactions in a computing environment.

# Preliminaries

## Properties of a transaction:

- **ACID** (Atomicity, Consistency, Isolation, Durability)

## System wide Expectations *(not just the database)*:

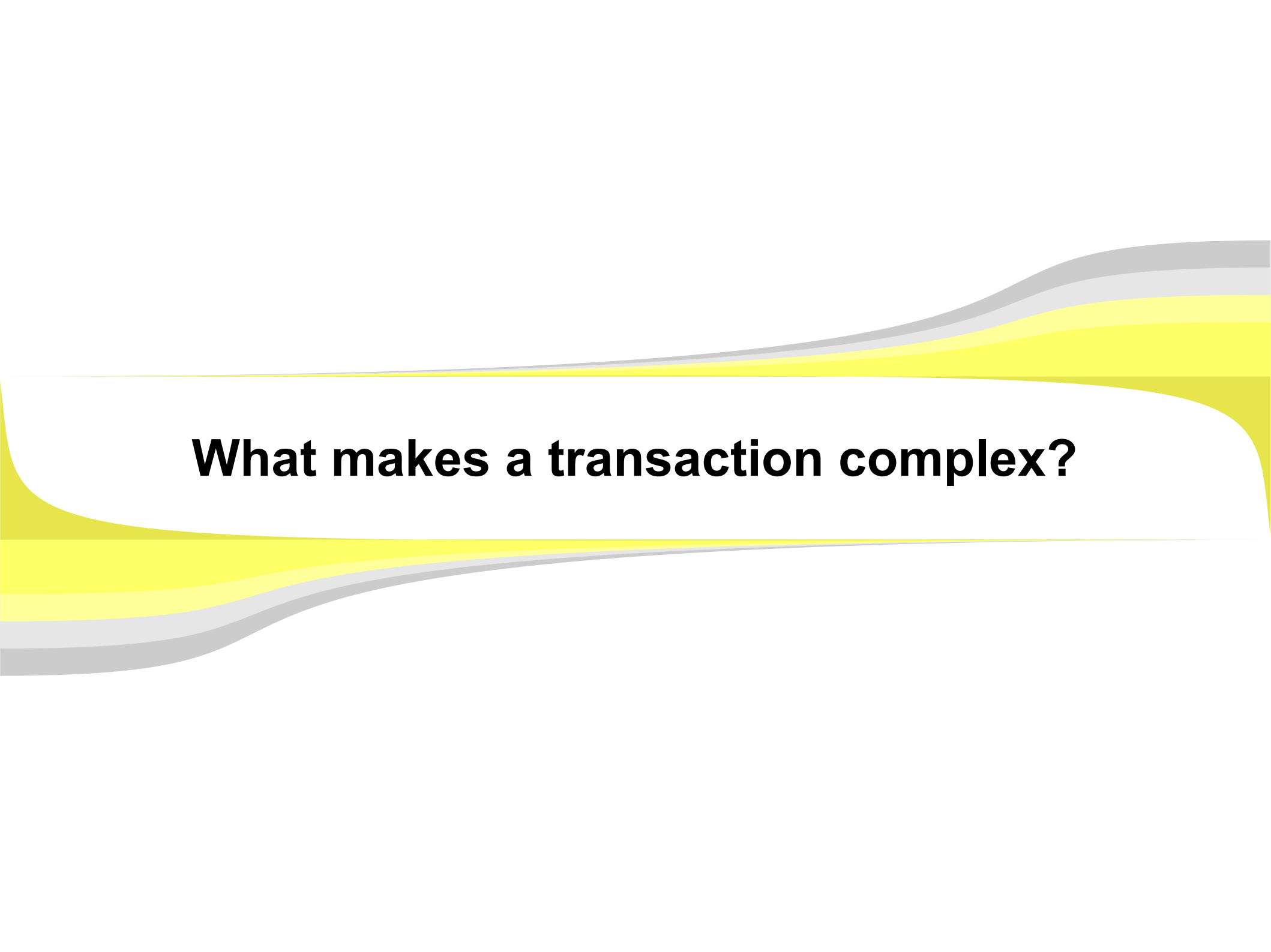
- Consistency
- Availability
- Partitioning Tolerance

*[Brewer, 2000], [Gilbert, 2002]*

## A non formal approach to show a correct and consistent behaviour:

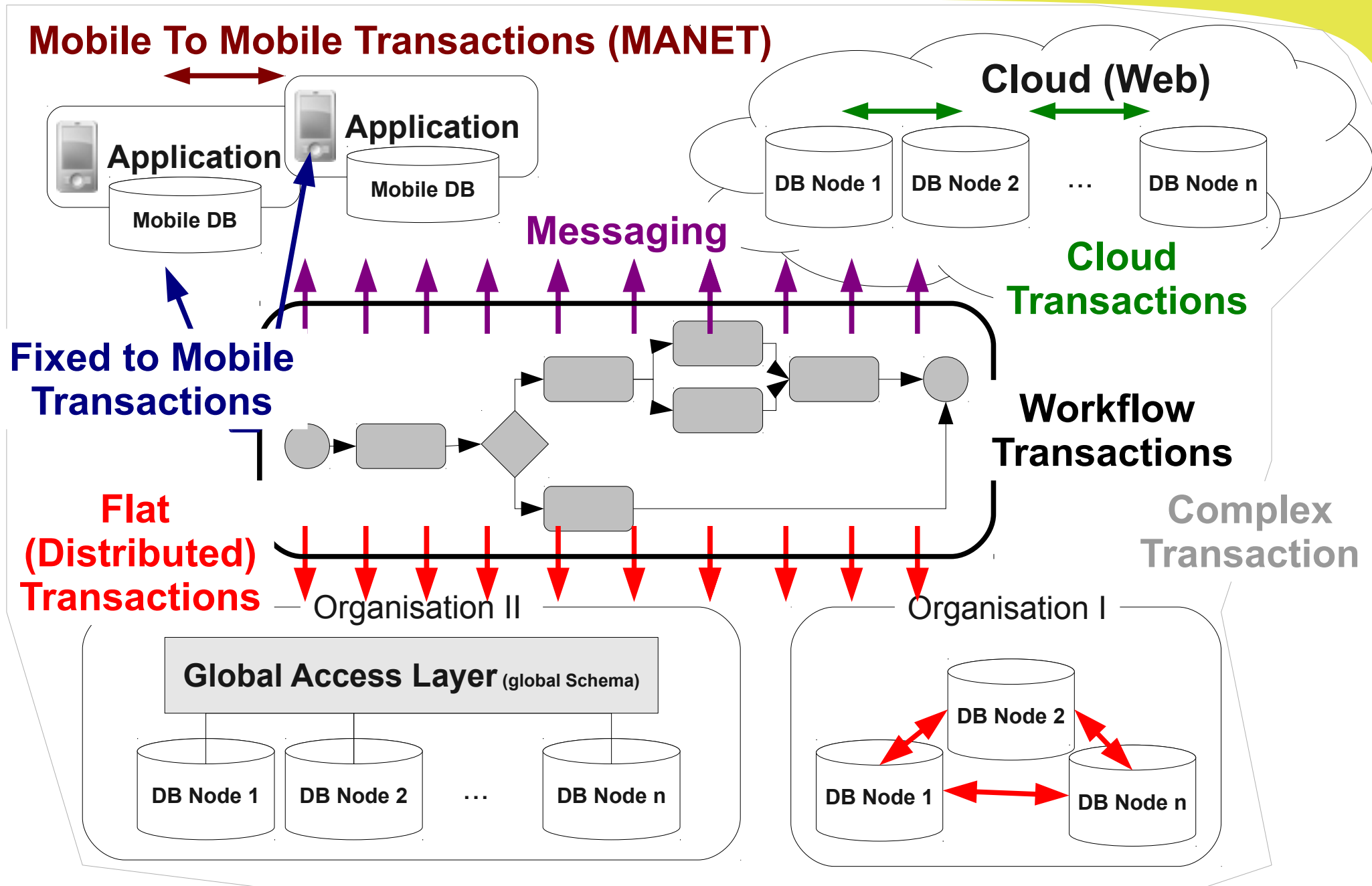
- **Harvest & Yield** *(allows for trade-offs)*

*[Fox, 1999]*

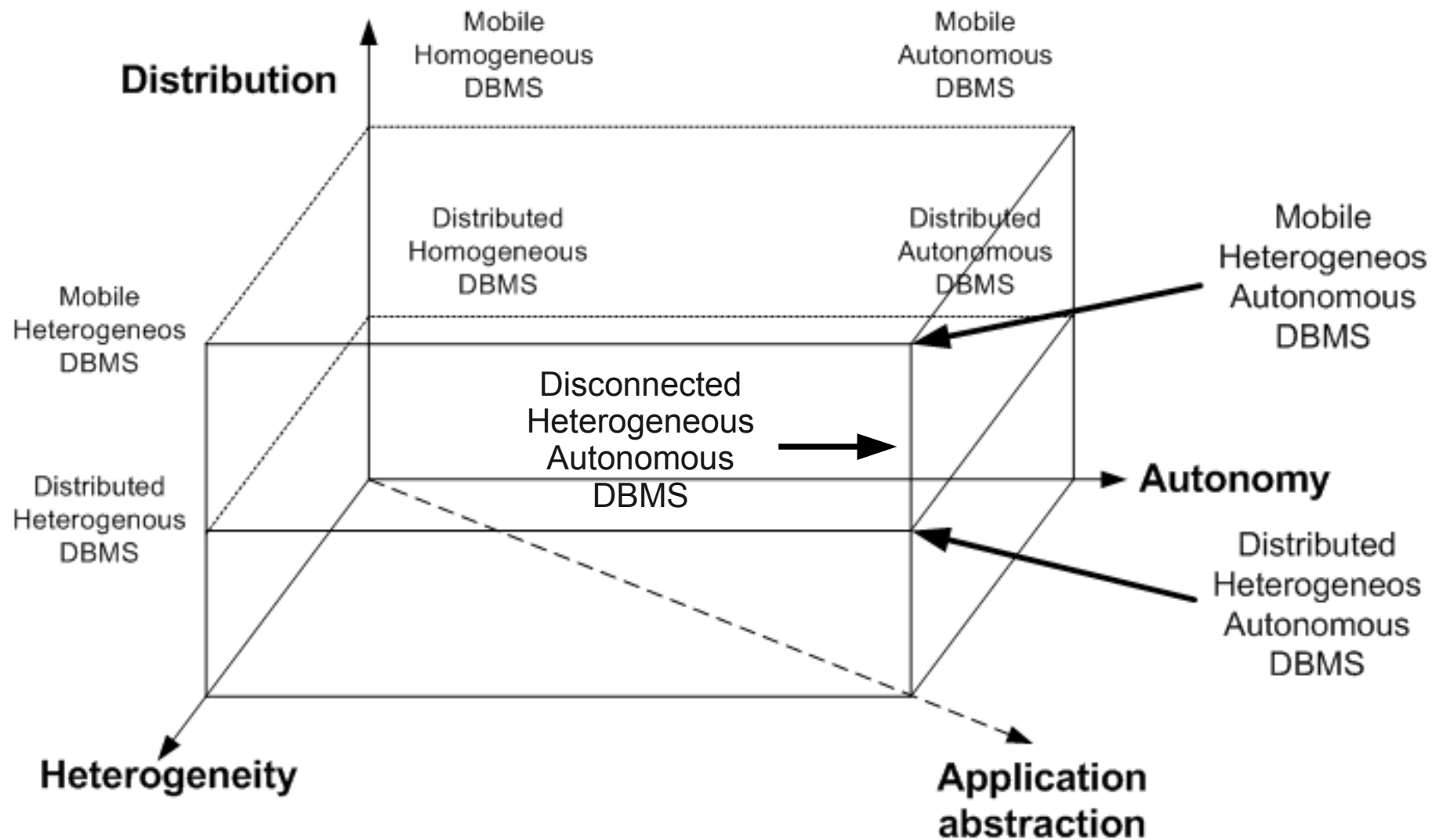


**What makes a transaction complex?**

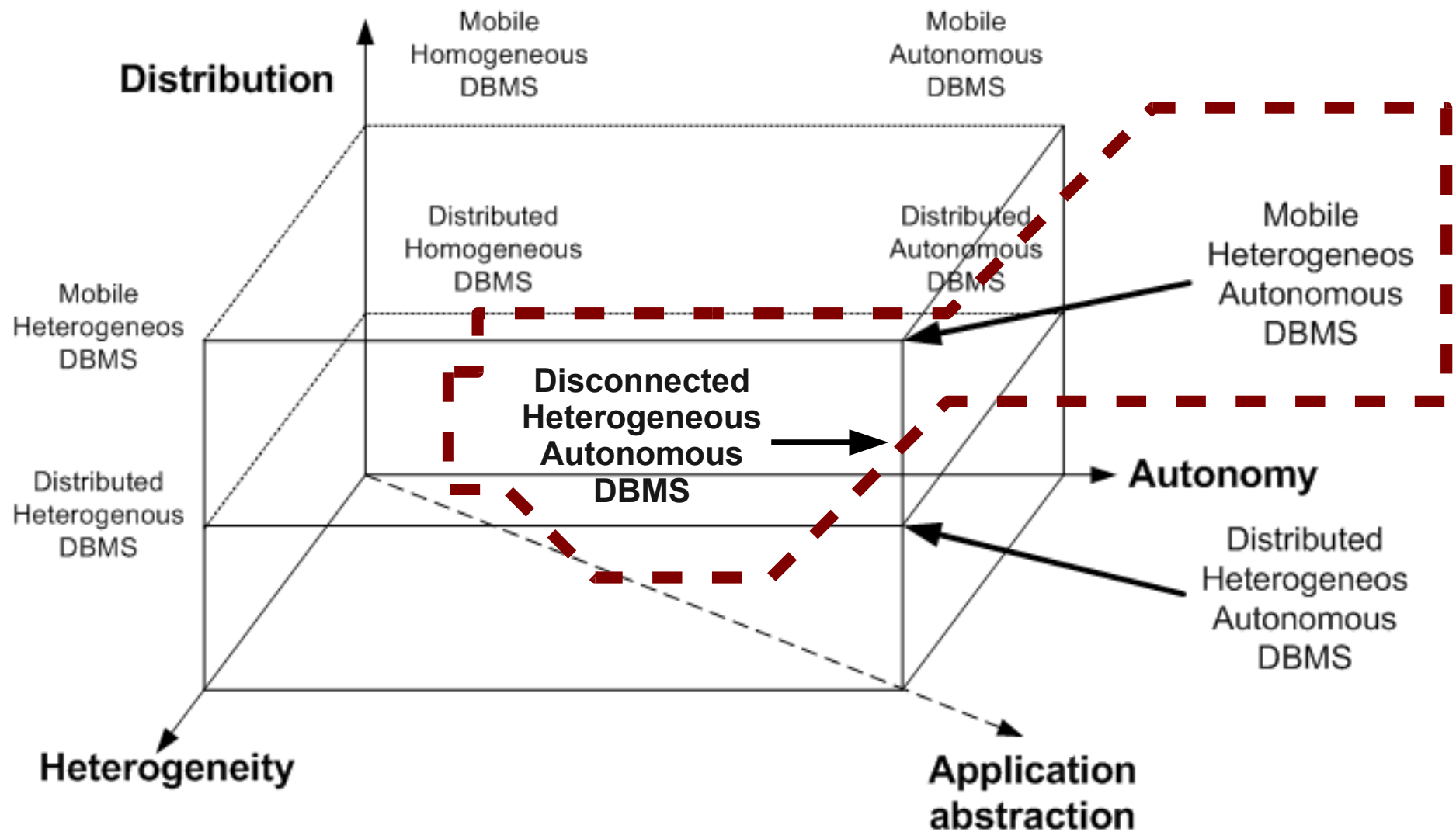
# A Scenario



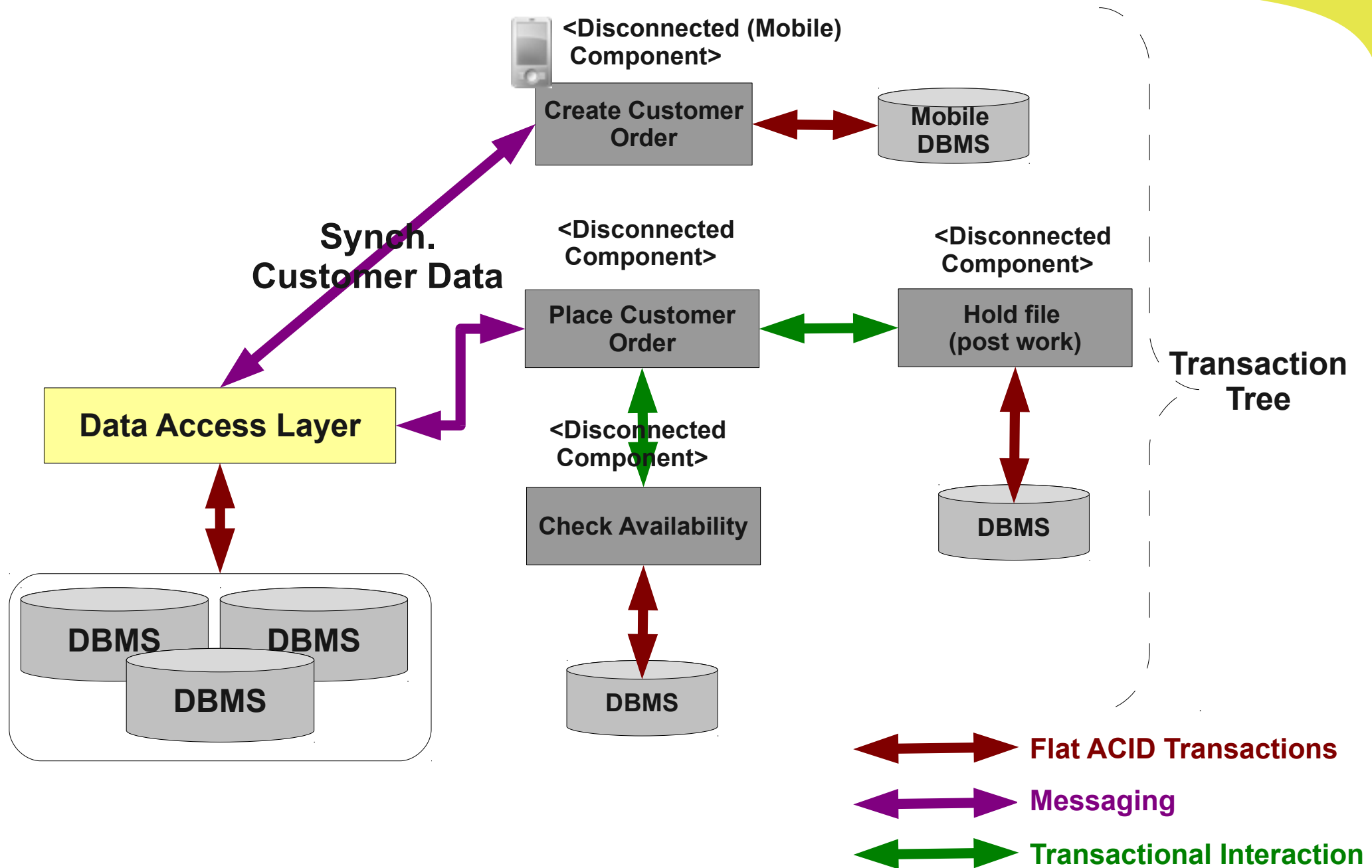
# Database Layer Dimensions



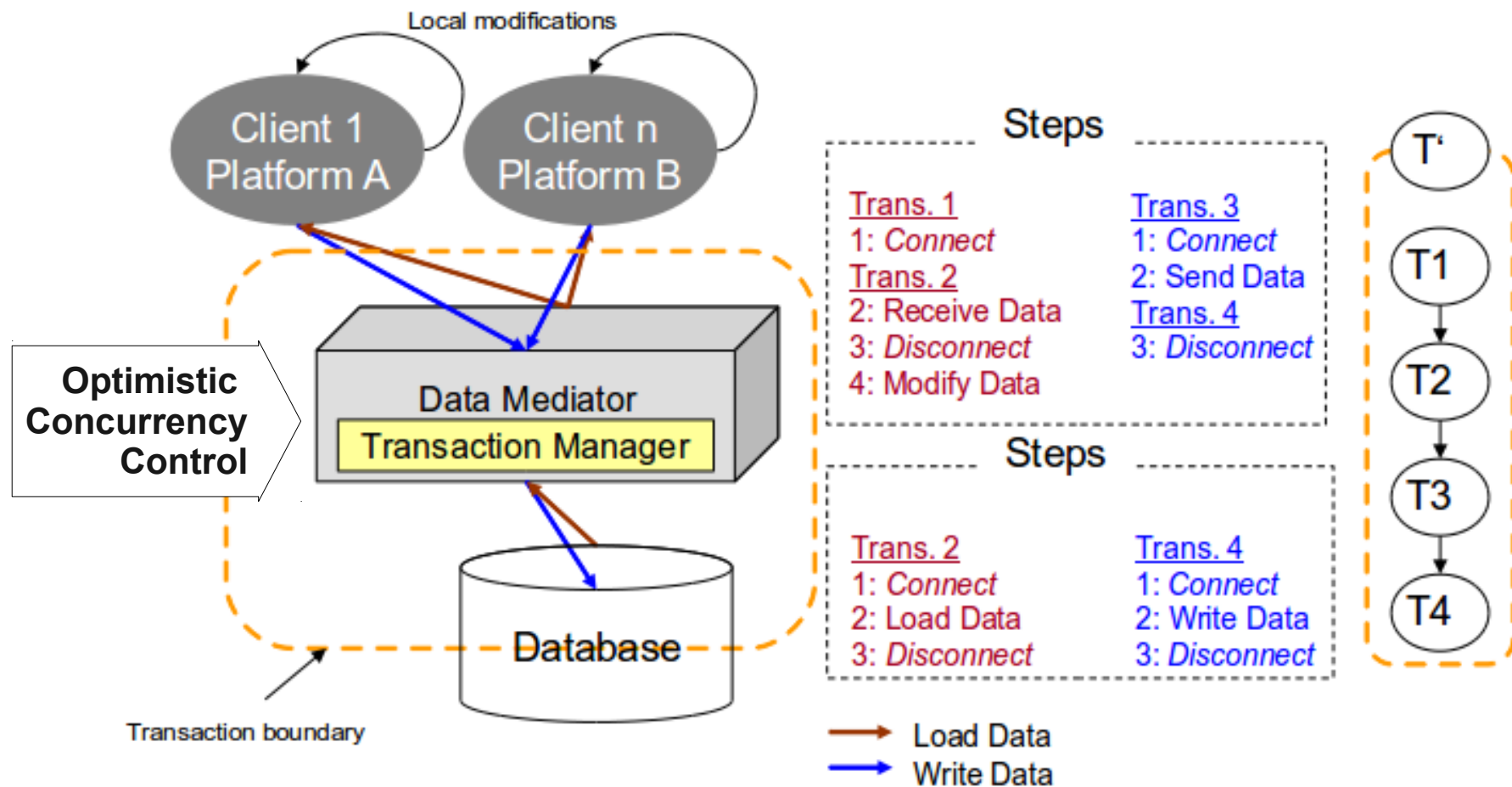
# Database Layer Dimensions



# Middleware & Application Layer Disconnected Components



# Disconnection



→ Notice: communication is asynchronous!

# Architectural Properties

## Architectural Properties 🏗️ -

|                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Time (Duration of a transaction) | Short<br>Long                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Distribution                     | <div>Physical<ul style="list-style-type: none"><li>Single instance</li><li>Multiple instances</li><li>Mobile instances</li></ul></div> <div>Data Distribution<ul style="list-style-type: none"><li>Non Replicated</li><li>Replicated<ul style="list-style-type: none"><li>Role<ul style="list-style-type: none"><li>Master</li><li>Slave</li></ul></li></ul></li></ul></div> <div>Domain of Control<ul style="list-style-type: none"><li>Controlled</li><li>Cooperated</li><li>Public</li></ul></div> <div>Autonomy<ul style="list-style-type: none"><li>Homogeneous</li><li>Heterogeneous</li></ul></div> |
| Interaction                      | Synchronous<br>Asynchronous                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

# Architectural Properties

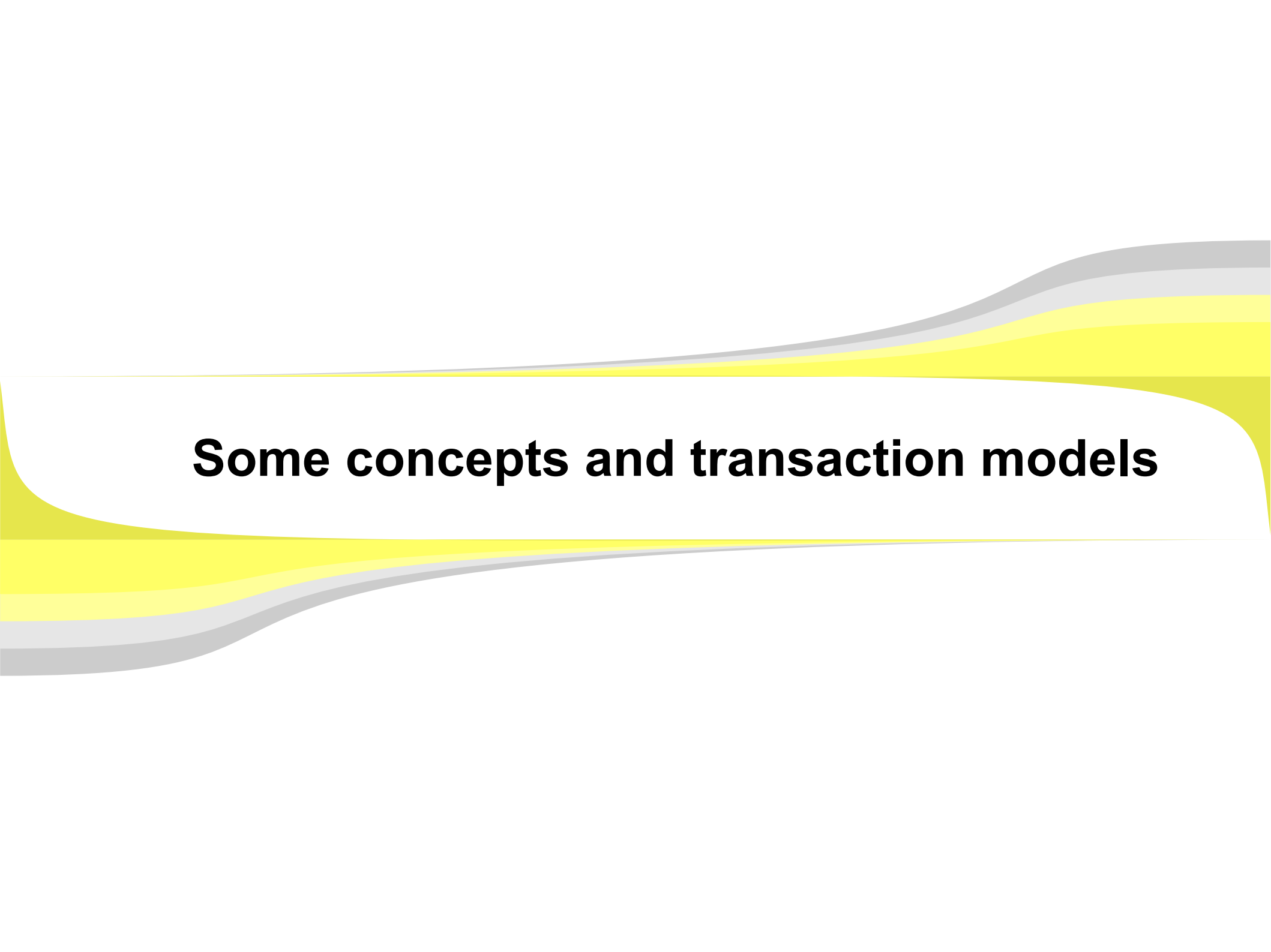
## Architectural Properties

Connection mode

- Connected
- Weak (loosely)
- Disconnected 
  - Non - predictable
  - Predictable

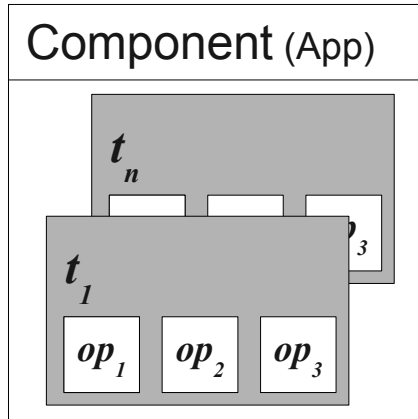
Structure

- Flat
- Hierarchical 
  - Dependency 
    - Order Relation
      - Atomic (Commit, Abort) [Vital] [Closed]
      - Spatial
      - Temporal
    - Substitutable (Alternatives)
    - Compensable (Recovery)
    - Non-Atomic [Non-Vital][Open]
  - Composition 
    - Decentralised Composition
    - Centralised Composition

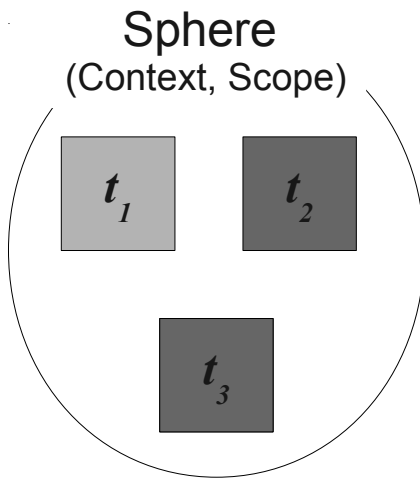
The background of the slide features a series of horizontal, wavy bands in shades of yellow and grey. These bands are layered and flow from the left side towards the right, creating a sense of movement and depth. The central text is positioned within a white, horizontally-oriented shape that is slightly wider in the middle, resembling a stylized 'C' or a speech bubble, which is set against the wavy background.

# **Some concepts and transaction models**

# Concepts to structure a complex transaction

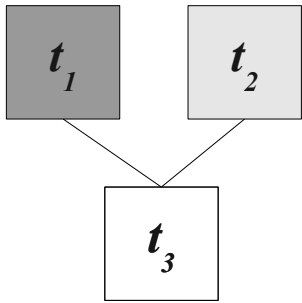


**Flat** transactions as implemented by a **component**

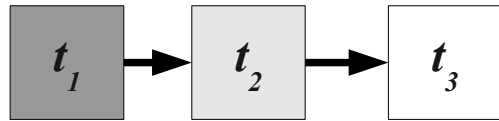


**Sphere** as an “abstract” construct to structure (group) transactions

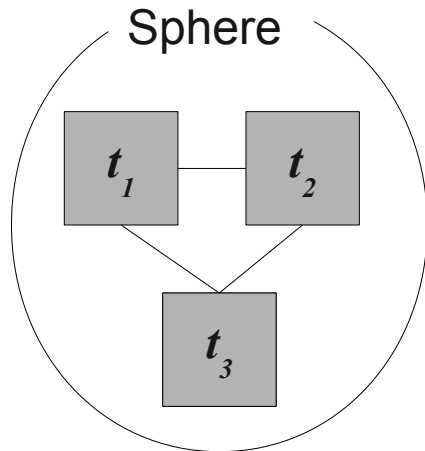
# Concepts to structure a complex transaction



**Nested structure: Dependencies** as a concrete **hierarchical structure**,  
e.g., commit dependencies  $\rightarrow$  atomic unit

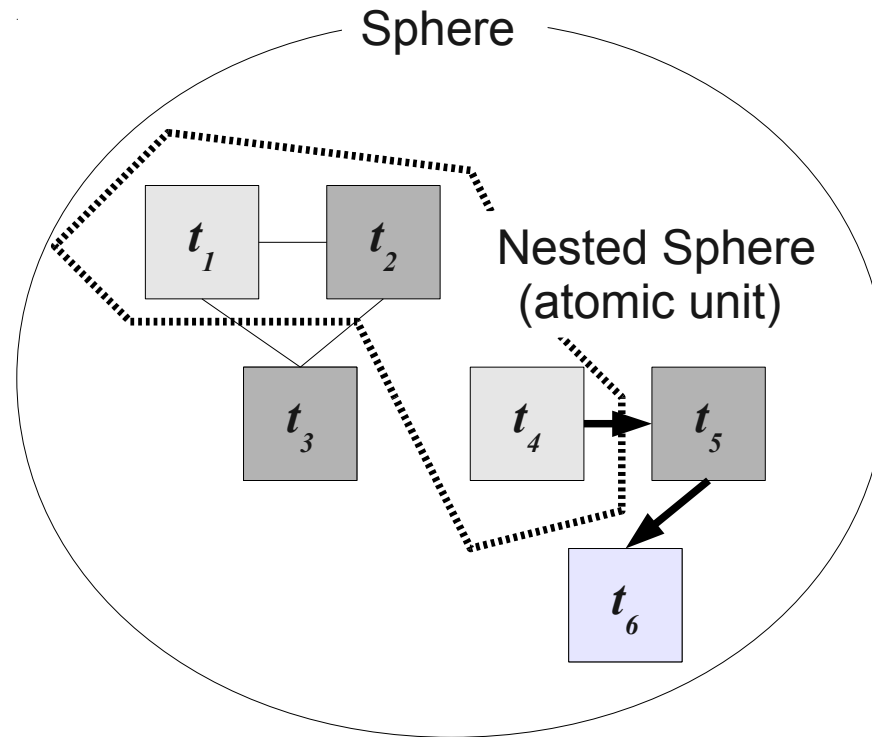


Explicit **execution order** for transactions



Sphere and dependencies “concrete” and “abstract” structure

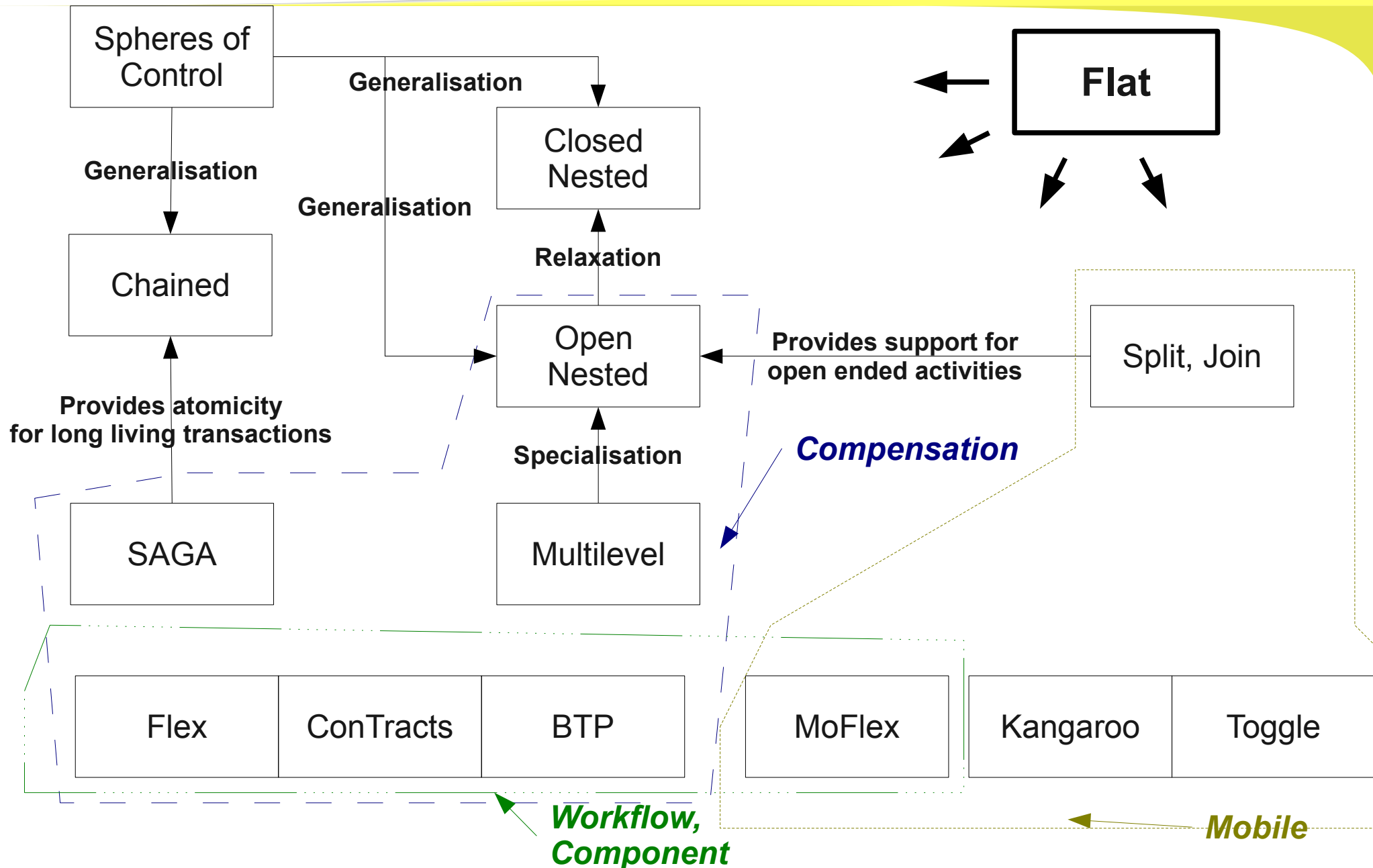
# Concepts to structure a complex transaction



**Nested spheres** and hierarchically structured (nested) transactions

# Important Advanced Transaction Models

## A rough overview



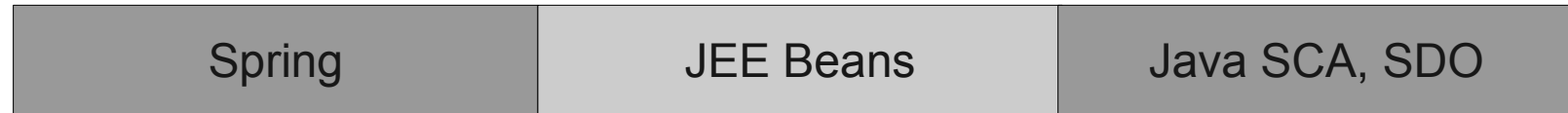


# **Technologies: Data Access and Transactional Interaction (*Transaction Propagation*)**

# The Java World

## An Extract

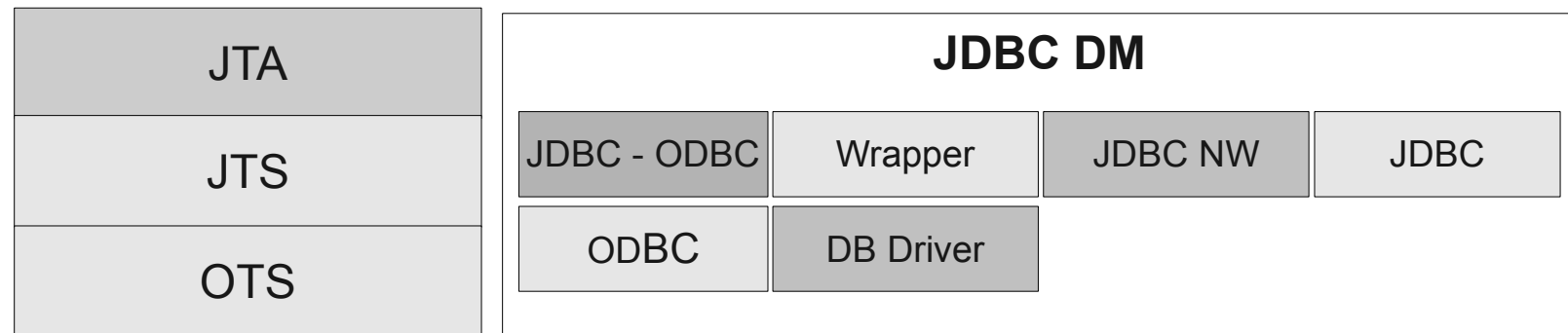
### Components



### Object Relational Mapping



### Transaction Control



**Distributed  
Transactions**

**JDBC Stack**

# The Java World

## Data Access & Transaction - Control

### “Own Code” Example

```
create connection()
begin transaction
...
    update table-a
...
if (condition)
    commit transaction
else if (condition)
    update table-b
    commit
else
    rollback

begin transaction
    update table-c
commit
```

### JTA Example (ORM)

```
EntityManager em = createEntityManager();
em.getTransaction().begin();
Employee employee = em.find(Employee.class,
id);
employee.setSalary(employee.getSalary() +
1000);
em.commit();
em.close();
```

### Spring Example (ORM, Declarative)

```
@Transactional(readonly = true)
public class DefaultFooService implements
FooService {
    public Foo getFoo(String fooName) {
        // do something
    }

@Transactional(readonly = false)
    public void updateFoo(Foo foo) {
        // do something
    }
}
```

# The Java World

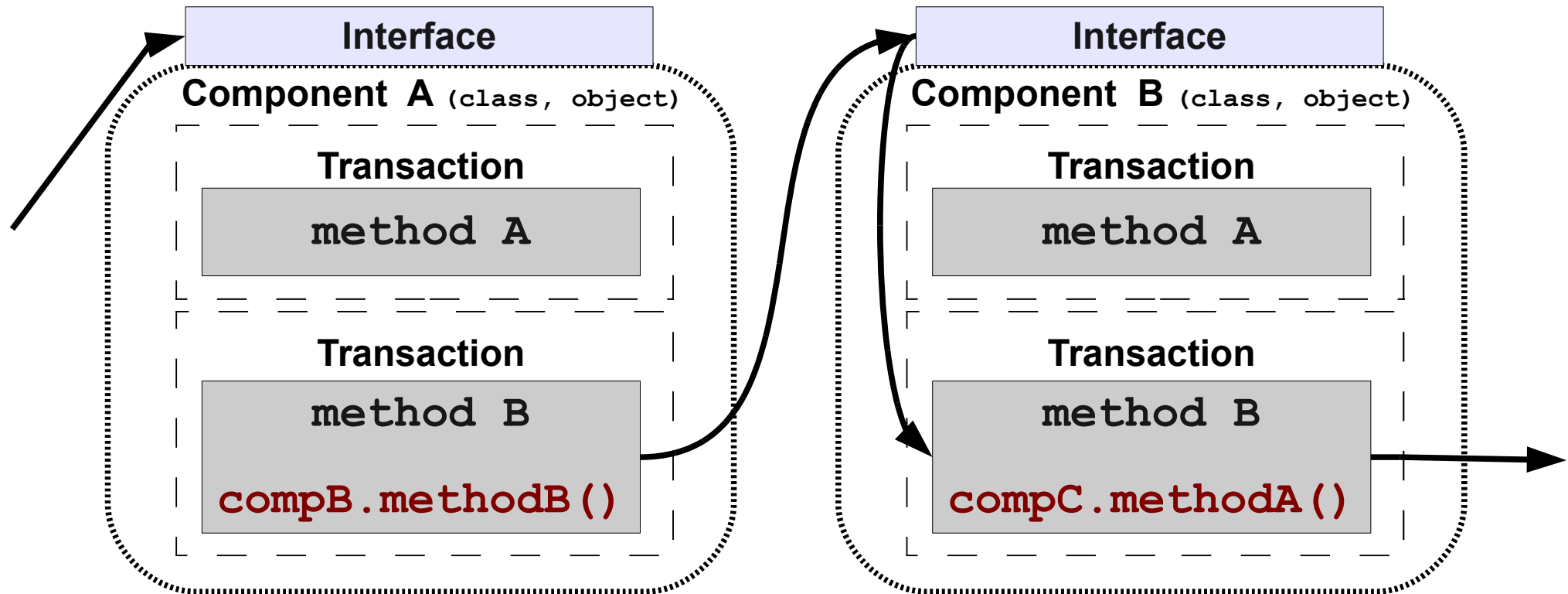
## Declarative Transaction – Control (*Rollback*)

### Spring example (declarative exception and rollback handling)

```
<tx:advice id="txAdvice" transaction-manager="txManager">
  <tx:attributes>
    <tx:method name="get*" read-only="false"
      rollback-for="
        NoProductInStockException,
        InvalidProductIDException"/>
    <tx:method name="*" />
  </tx:attributes>
</tx:advice>
```

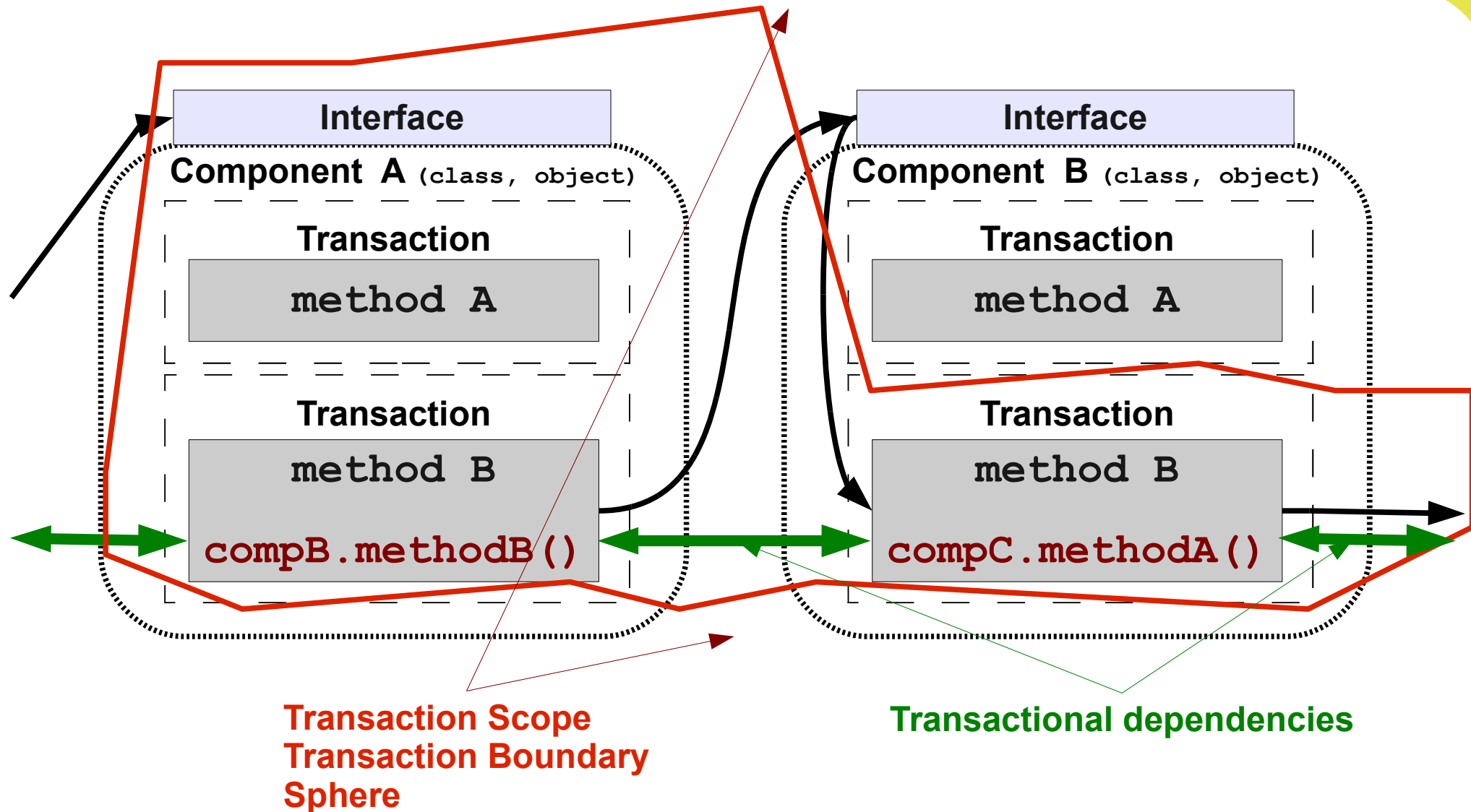
# Component Composition

## Transaction Propagation



# Component Composition

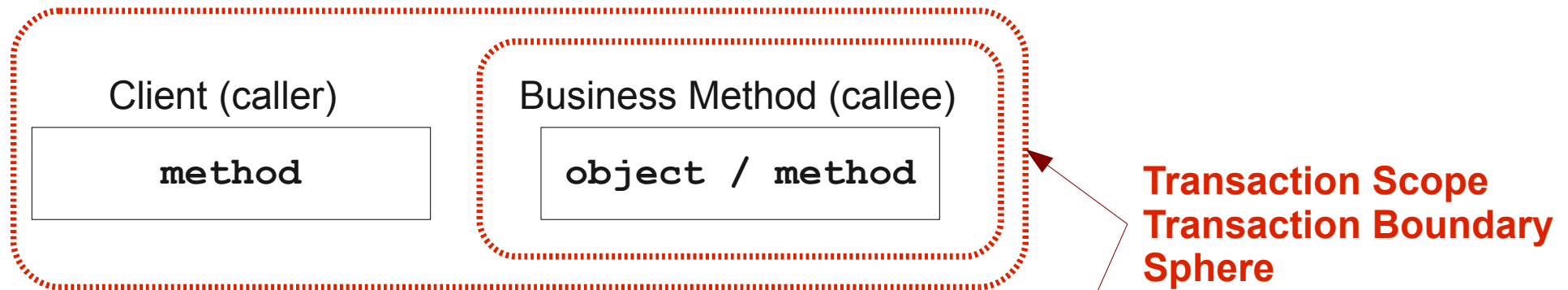
## Transaction Propagation



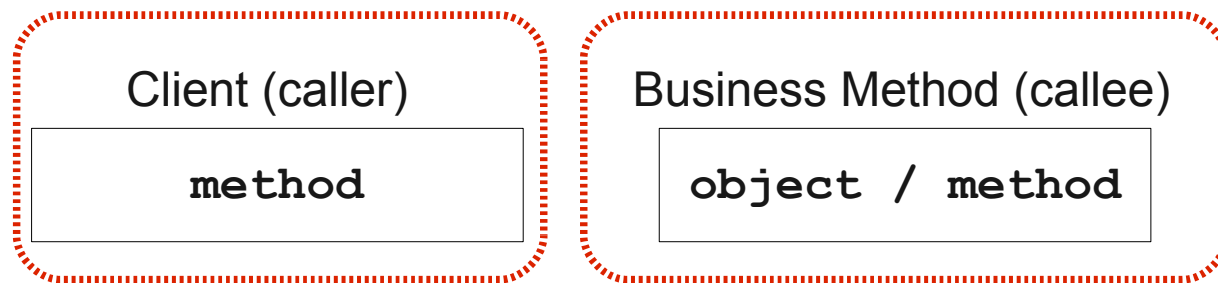
# The Java World (JEE)

## Declarative Transaction Propagation

**Callee: `TransactionAttributeType.REQUIRED`**

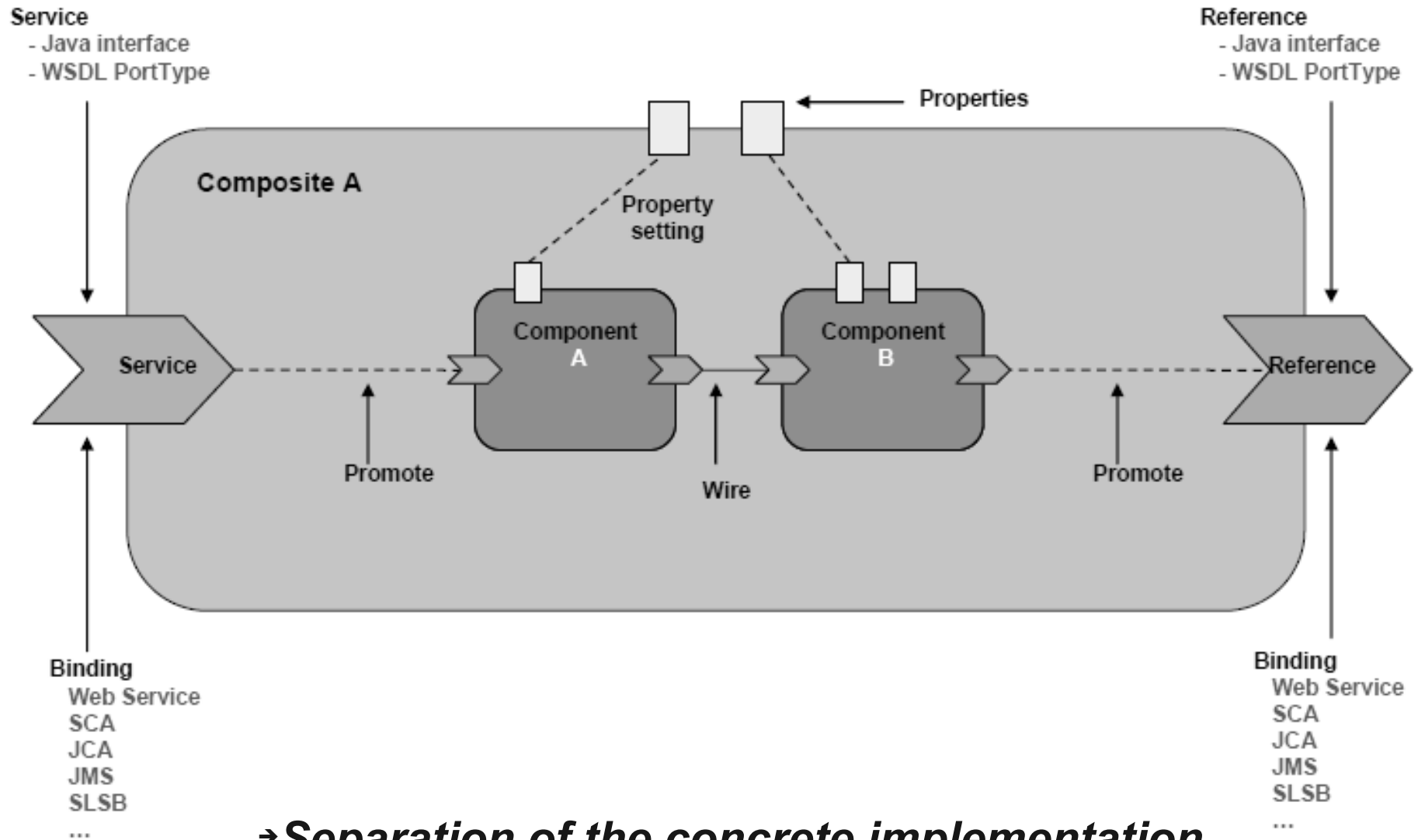


**Callee: `TransactionAttributeType.REQUIRES_NEW`**



1. Suspends client transaction (Thread)
2. Starts a new transaction (Thread)
3. Delegate call
4. Resume client transaction (Thread) after the method completes

# Service Component Architecture (SCA)



→ *Separation of the concrete implementation*  
→ *Higher Abstraction*

# SCA Transaction Policy

**Example:** `managedTransaction.local`

## SCA Runtime

Global Transaction (managed by the runtime)

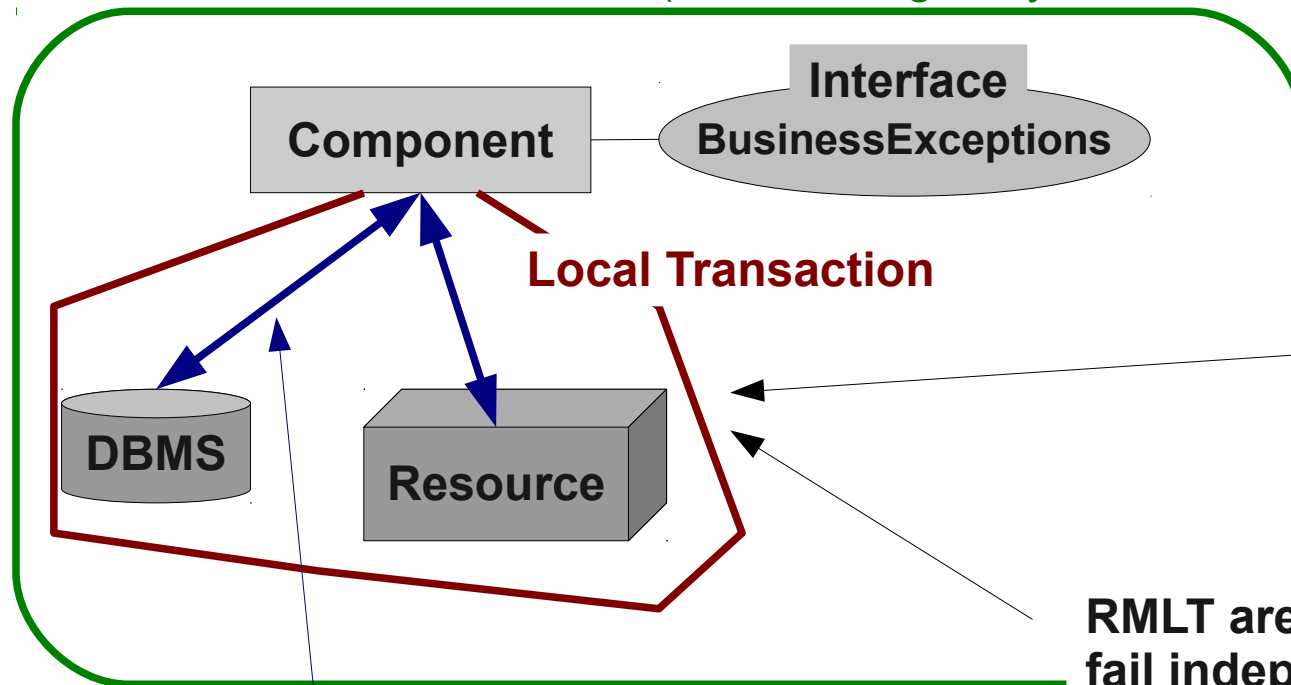
Local Transaction Containment (LTC, managed by the runtime)

Global transaction is hidden

Runtime coordinates the component's local transaction

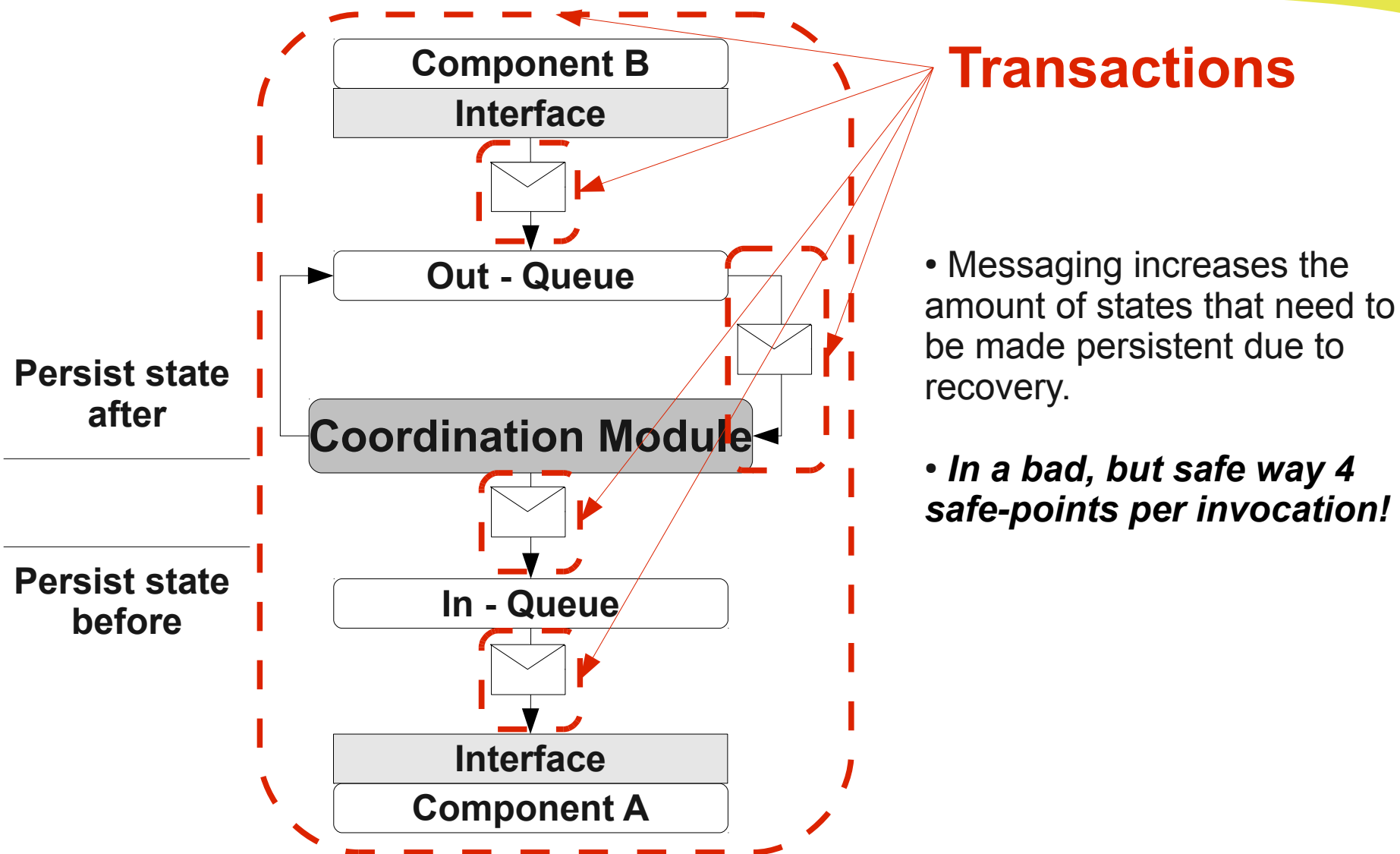
RMLT are coordinated and LTC may fail independently for exceptions defined in the interface. Anticipated behaviour and no automatic rollback.

Extended resource manager local transaction (RMLT)



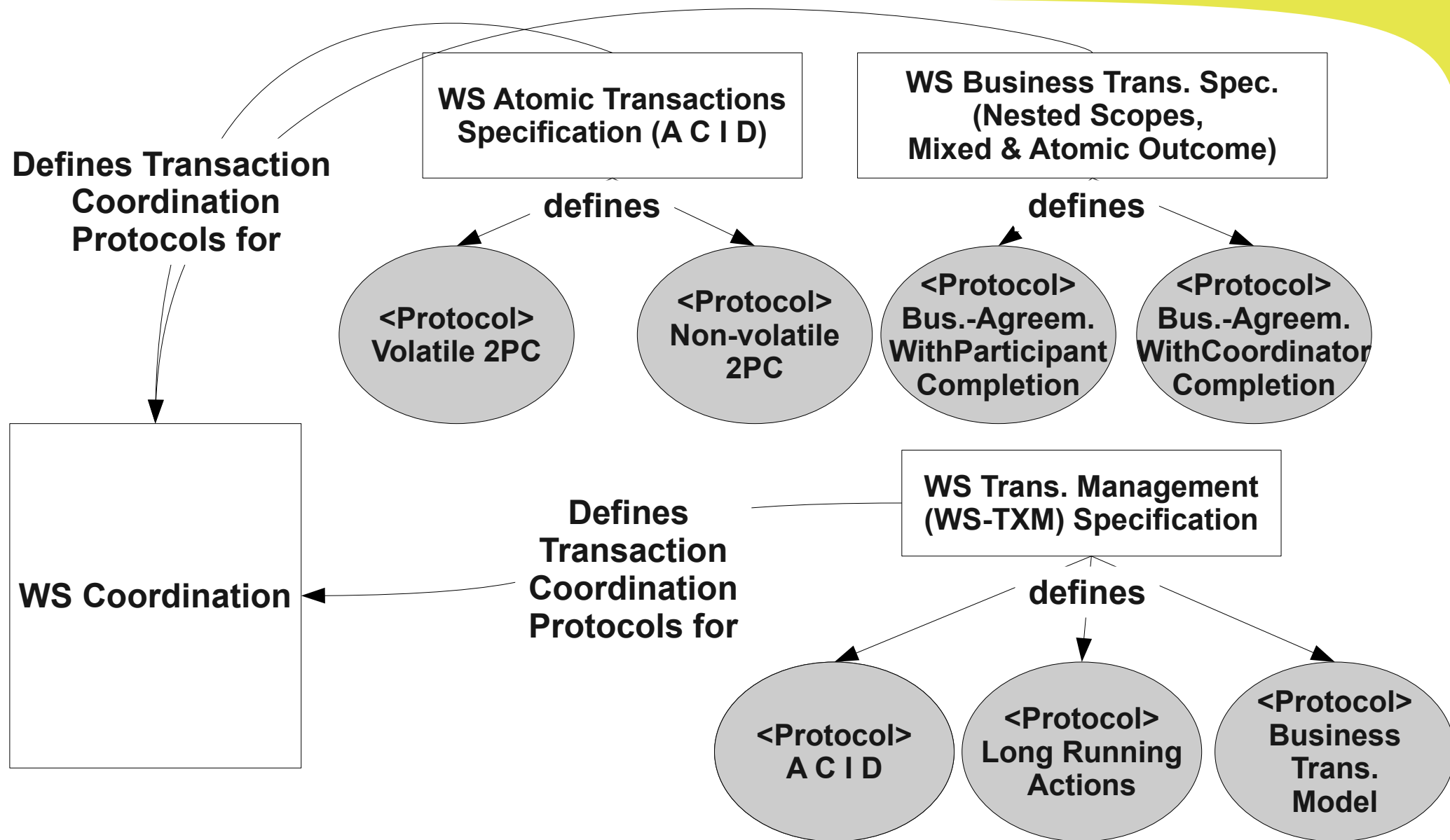
# SCA Transaction Policy

## Example: transactedOneWay

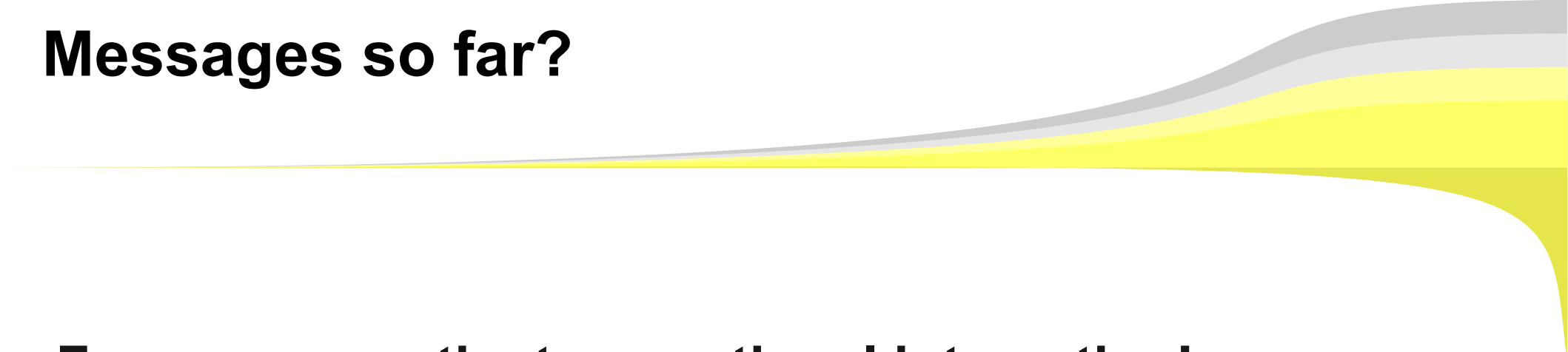


# Web Service (WS) Transaction Coordination

## An extract



# Messages so far?

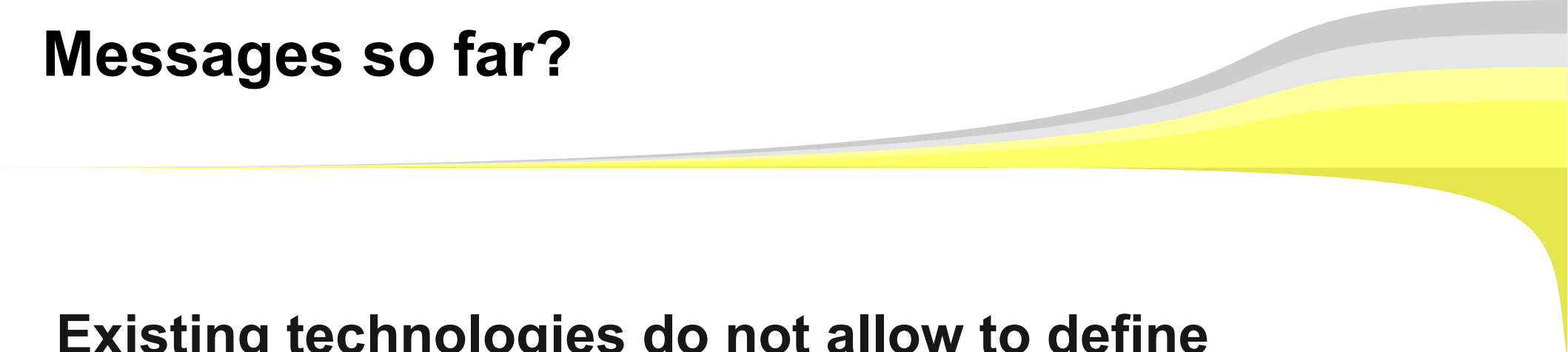


**Focus was on the transactional interaction!**

**Existing technologies abstract the data access**  
*(sufficient? ~ Yes)*

**Existing technologies allow to define the transactional interaction behaviour (~Atomicity and Isolation) of components** *(sufficient? ~ Yes)*

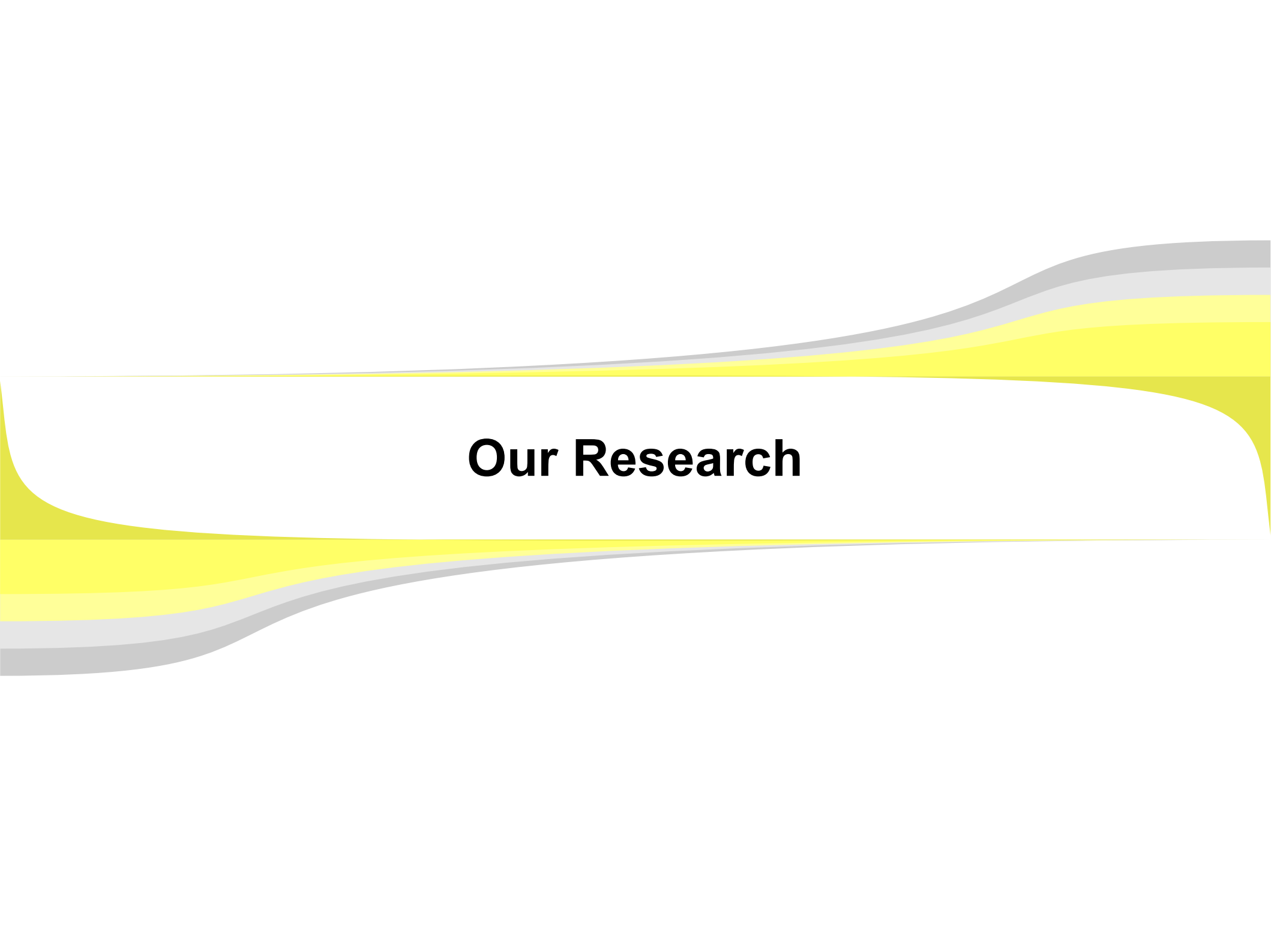
# Messages so far?



Existing technologies do not allow to define consistency requirements on a component base  
*(problematic? ~YES)*

Composition of transactional properties is complicated  
*(Metrics are required)*

Is a higher level classification of transactions valuable and necessary? *(~YES)*

The background features a series of horizontal, wavy bands in shades of yellow and grey. These bands are layered and flow from the left side towards the right, creating a sense of movement and depth. The central area is a clean white space where the text is located.

# **Our Research**

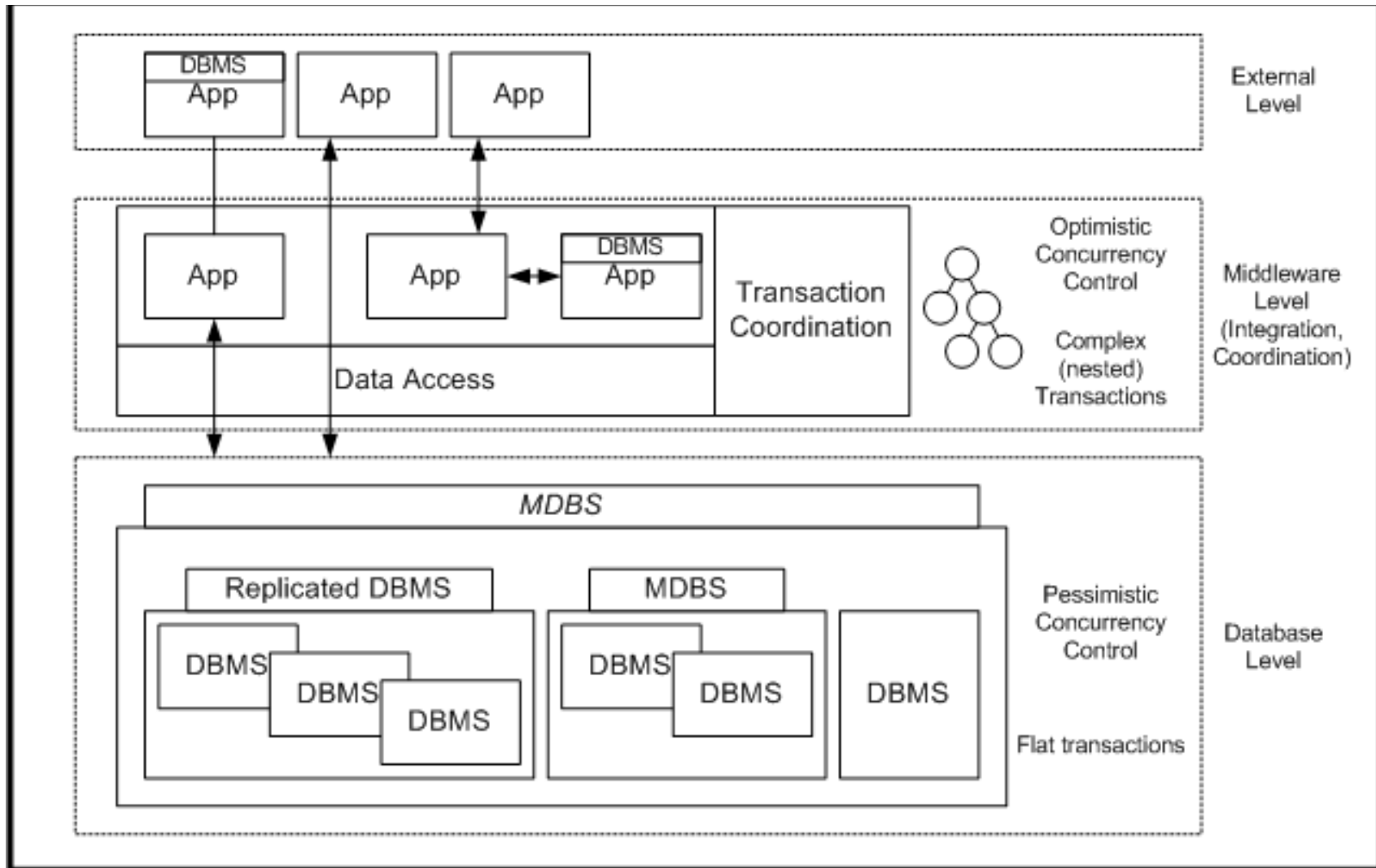
# Focus of our research

**Scenario based trade-off decisions between**

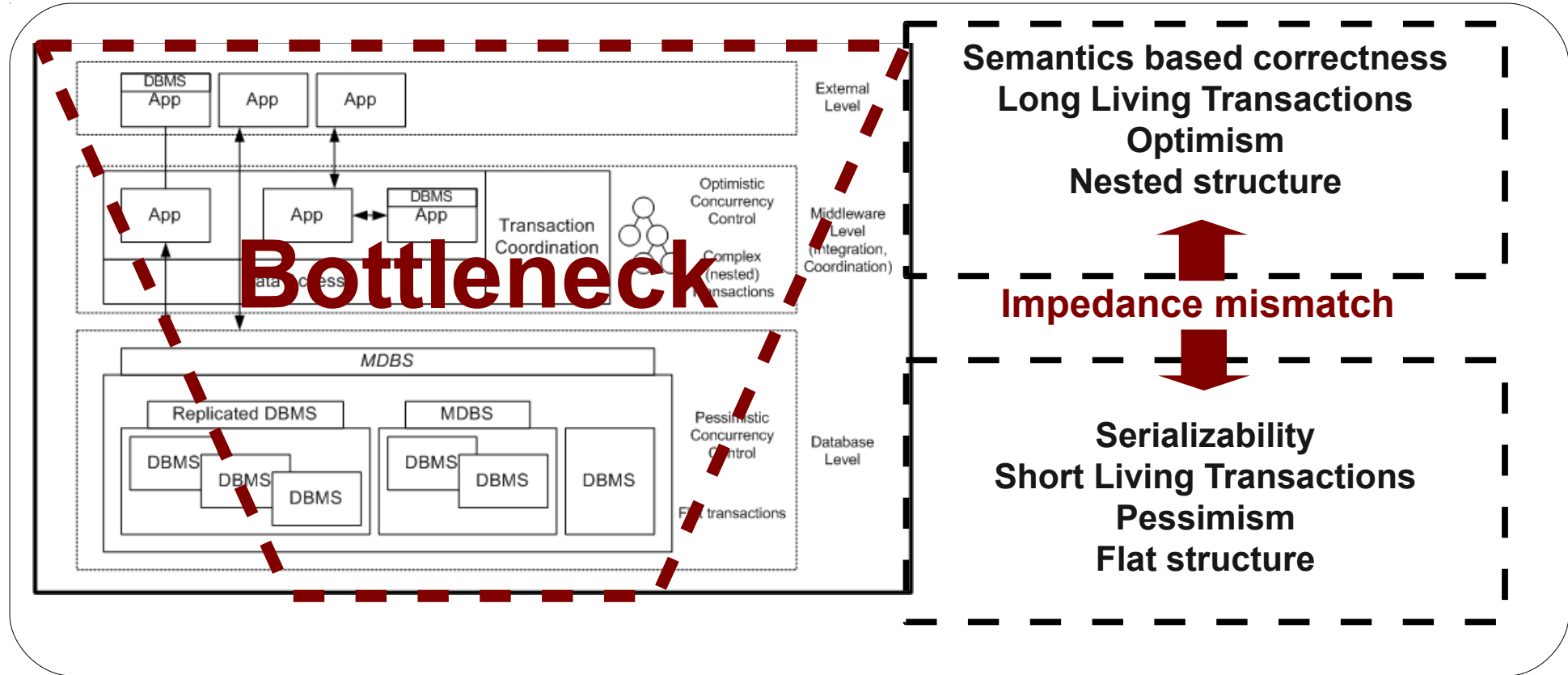
- 1) consistency,**
- 2) failure-handling, and**
- 3) availability**

**for the transactional integration of components  
in heterogeneous disconnected computing  
(considering all layers).**

# Transactional Integration

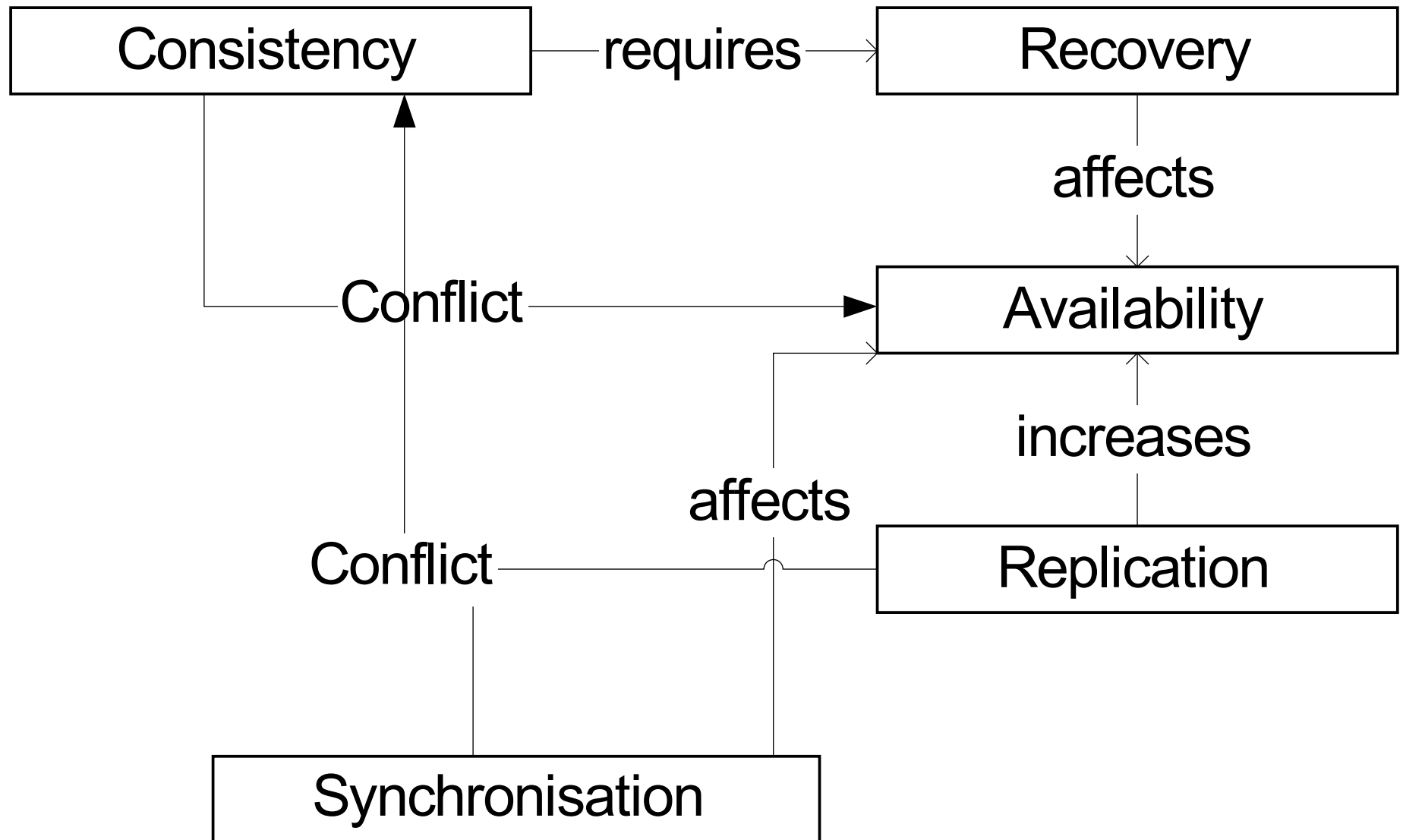


# Transactional Integration

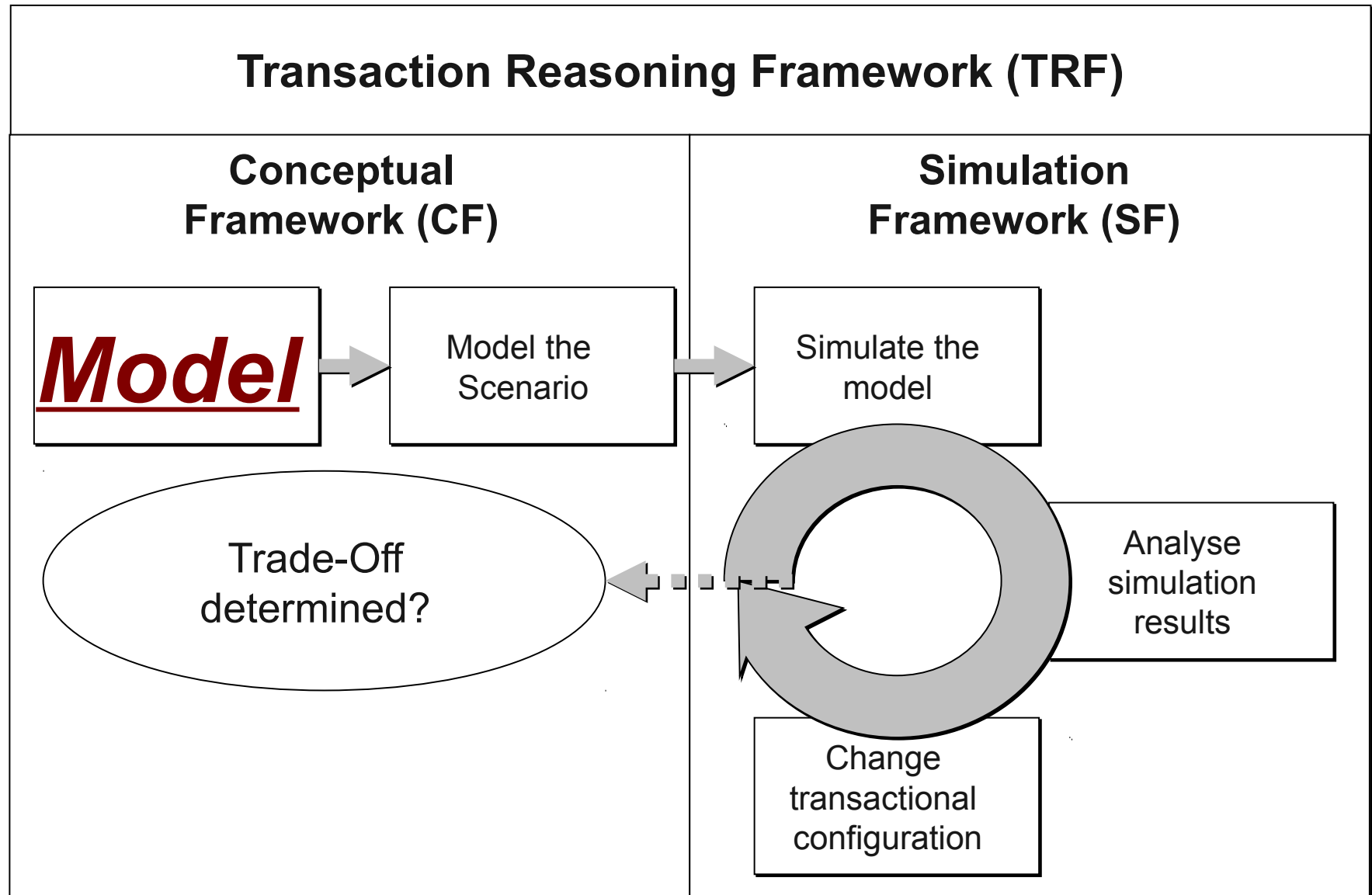


**Provide an available (scalable), consistent (ACI), and durable (D) TM despite this bottleneck and the impedance mismatch.**

# General Problem -in a nutshell-

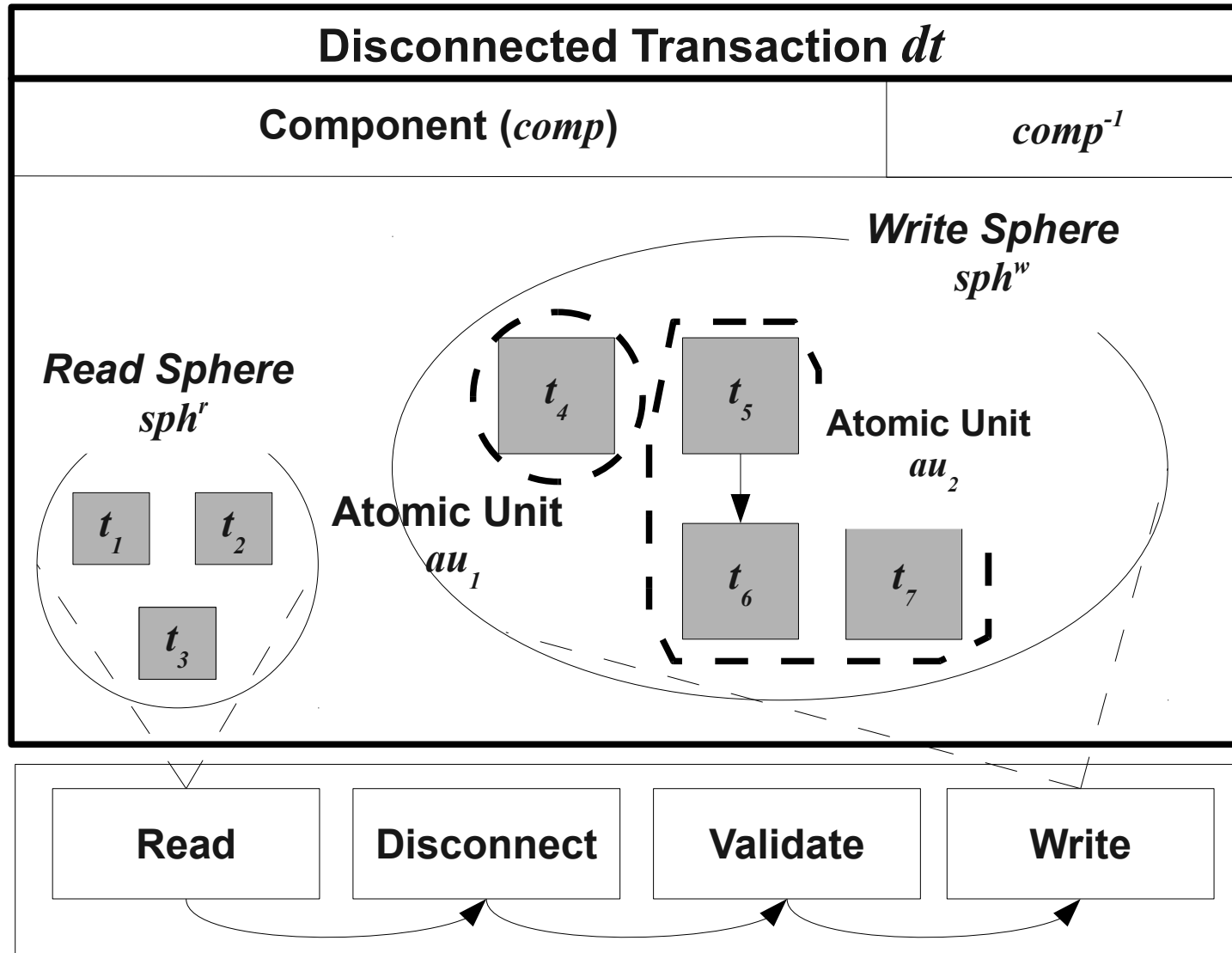


# Methodology



# Model of a disconnected transaction

$$dt := (sph^r, sph^w, Gdt_i, comp, comp^{-1})$$



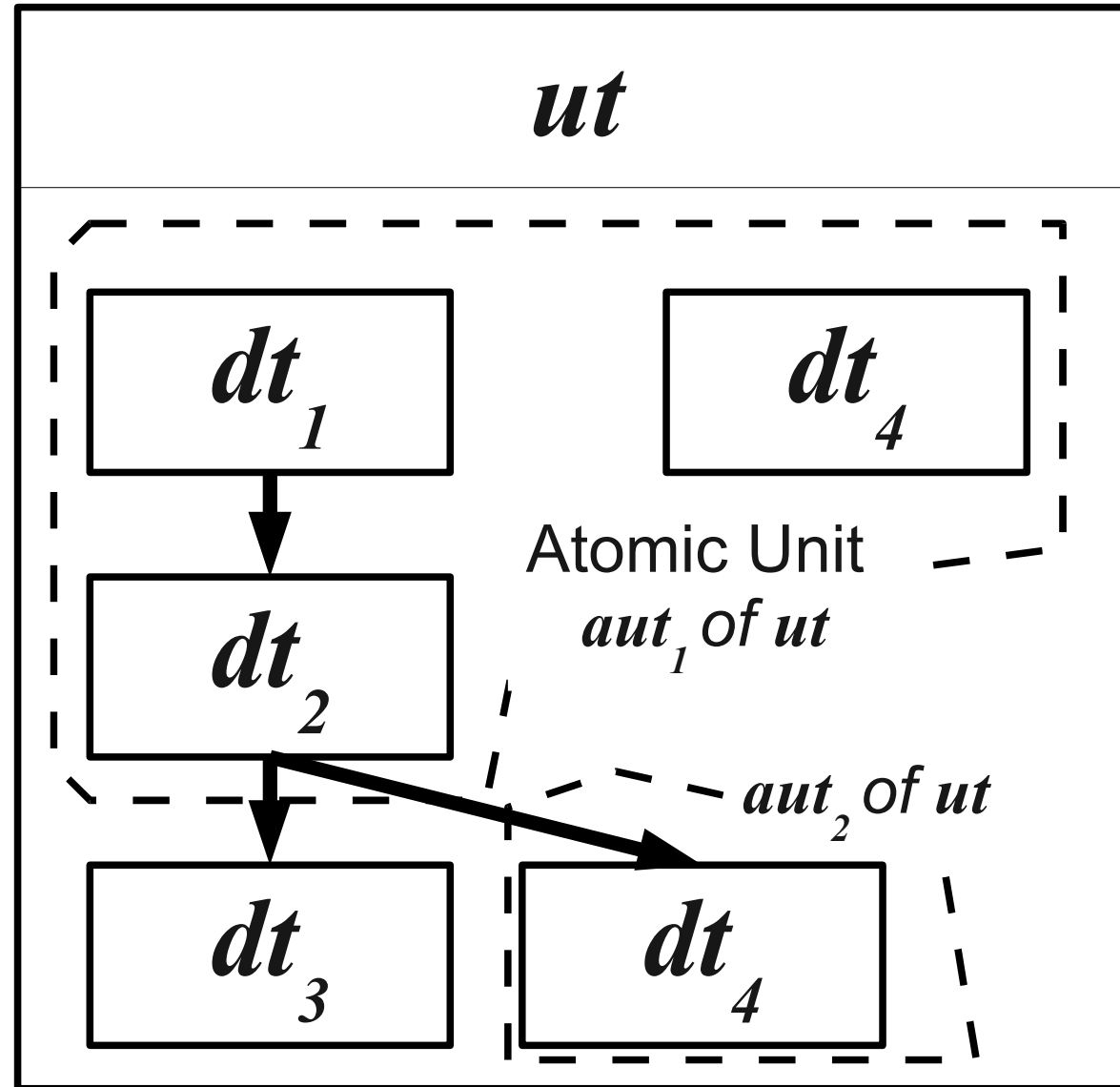
Imposed structure 1: OCC phases within a component  $comp$

Imposed structure 2: atomic units of a  $sph^w$

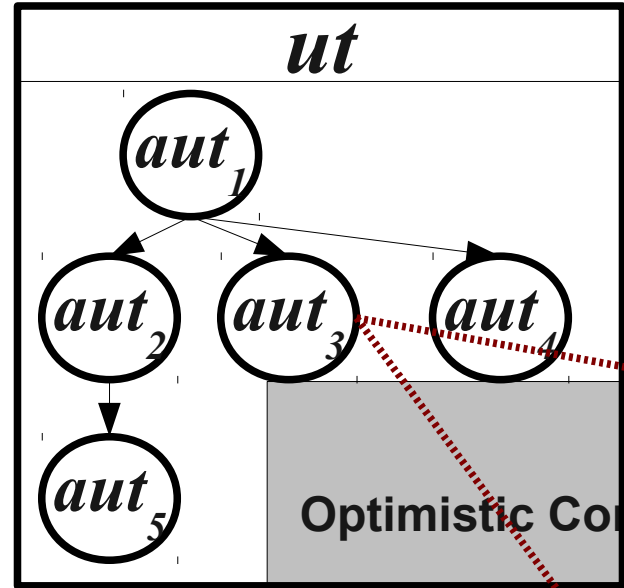
Imposed structure 3: execution order  $Gdt$  of a  $sph^w$

# Model of a user transaction

$$ut := (DT, Gut, AUT)$$

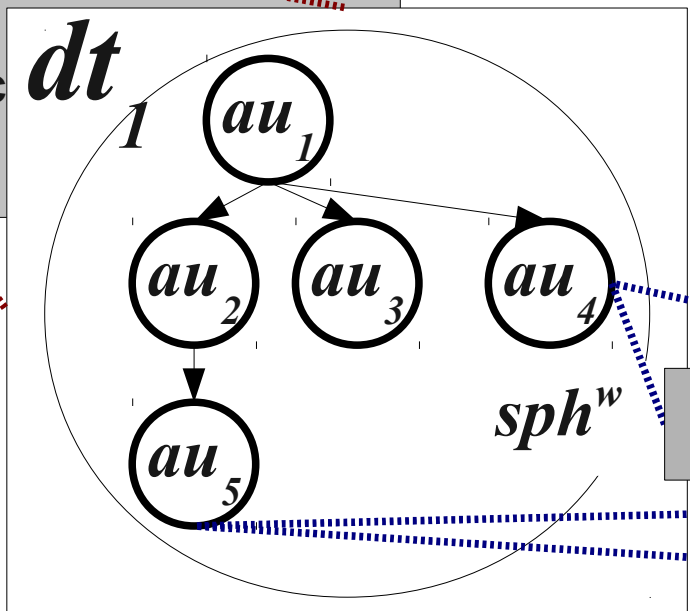


# Execution Model

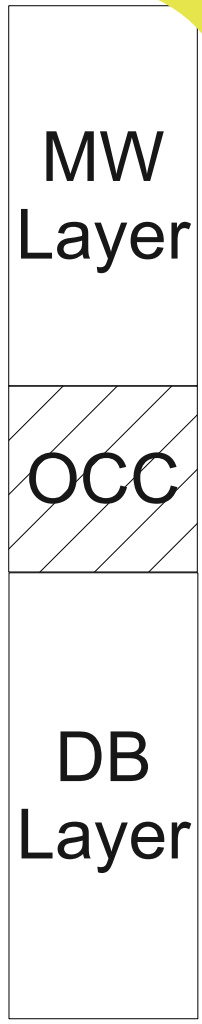


Execution Model:  
Open Nested Structure

Execution Model:  
Closed Nested Structure

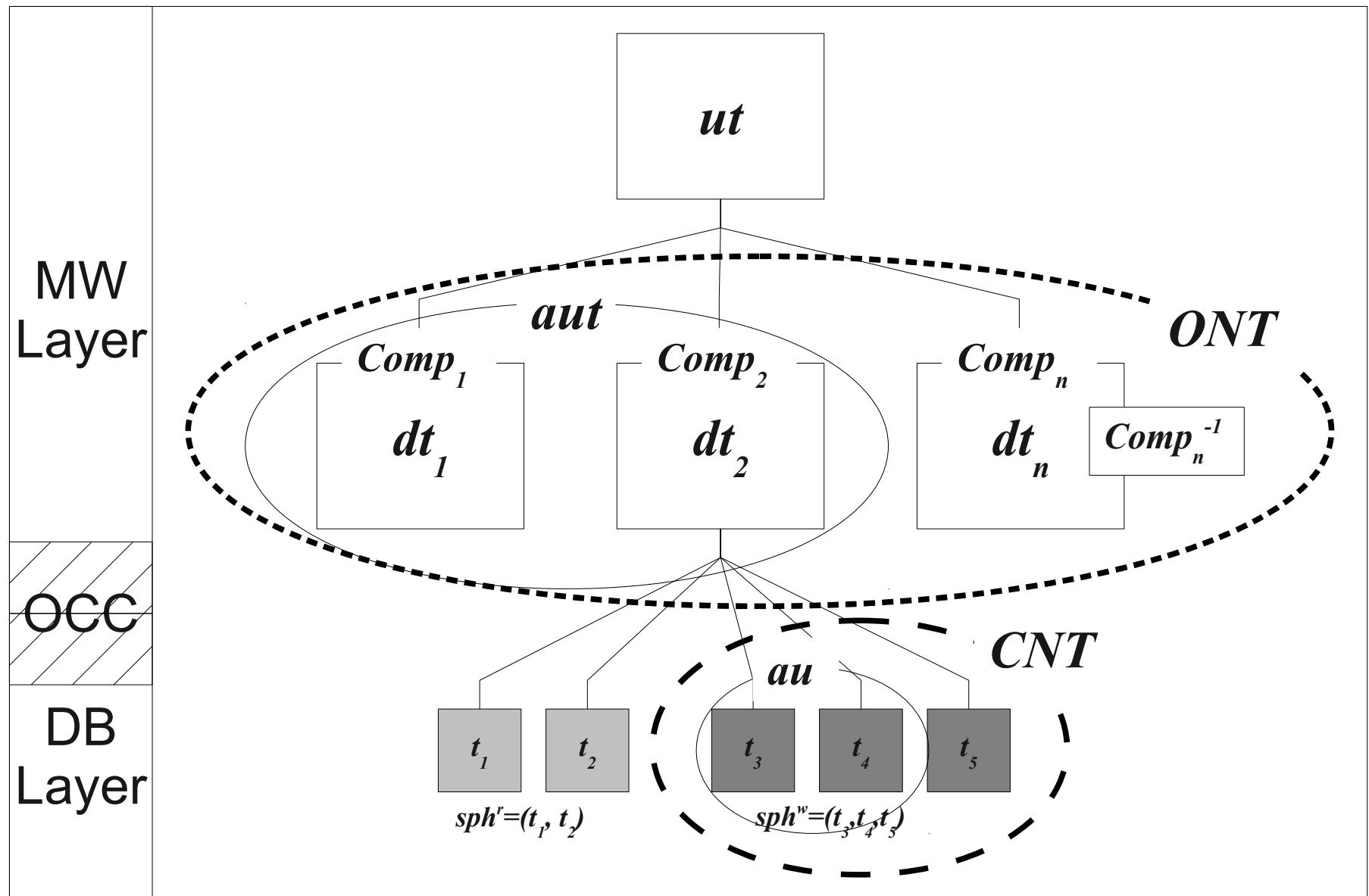


Execution Model:  
Flat ACID Transactions

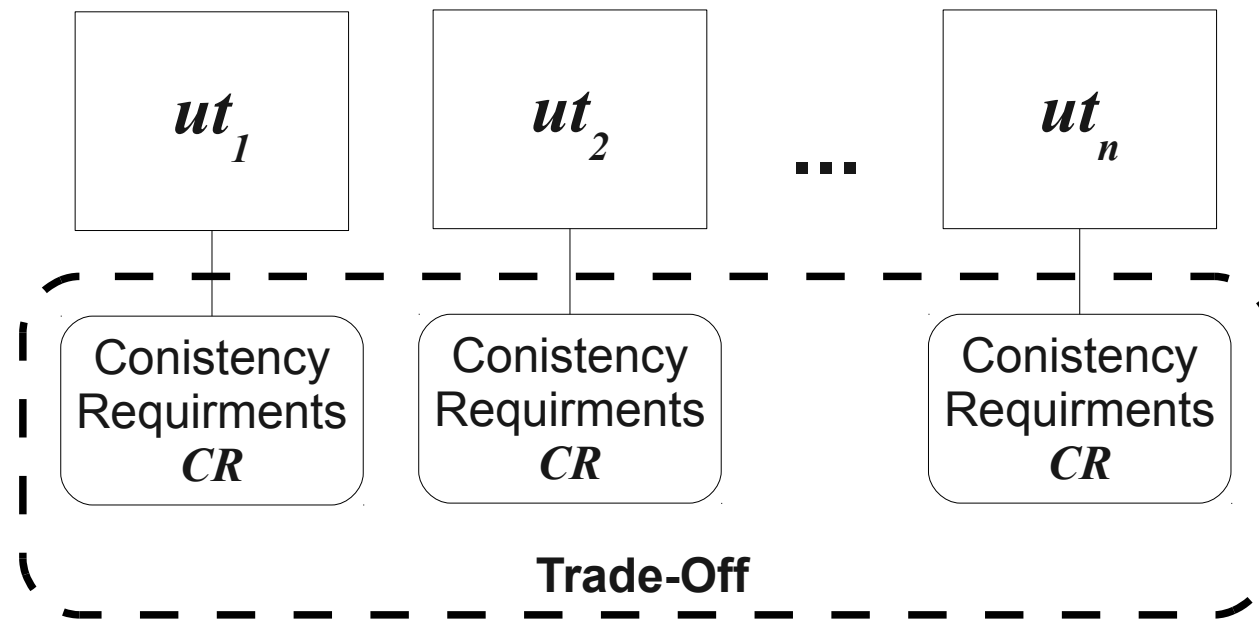


# Model of a disconnected transaction

## The complete model



# Trade-Off



**Derive costs functions from  $CR$**

- ***Quantification of  $CR$***
- ***Harvest & Yield?***

# Idea

## Derive *CR* based on a transaction type

Approach:

Operations (*t*) → Transaction Type (*tType*) → Component Type?

### Operation Types:

- 1) Read
  - 1) Aggregate
- 2) Write
  - 1) Insert
  - 2) Update
    - 1) Linear Dependent
  - 3) Delete
  - 4) Merge

### Transaction Types:

- 1) Owner: *Private data access*  
→ *Concurrency?*
- 2) **Quota**: *Allocation of set, e.g., book a flight*
- 3) OLTP
  - 1) **Escrow**: *Specific form of updates*  
→ *automatic replay possible*
- 4) Read only
  - 1) Data Analysis: *Precision*
- 5) Delete only
- 6) Insert

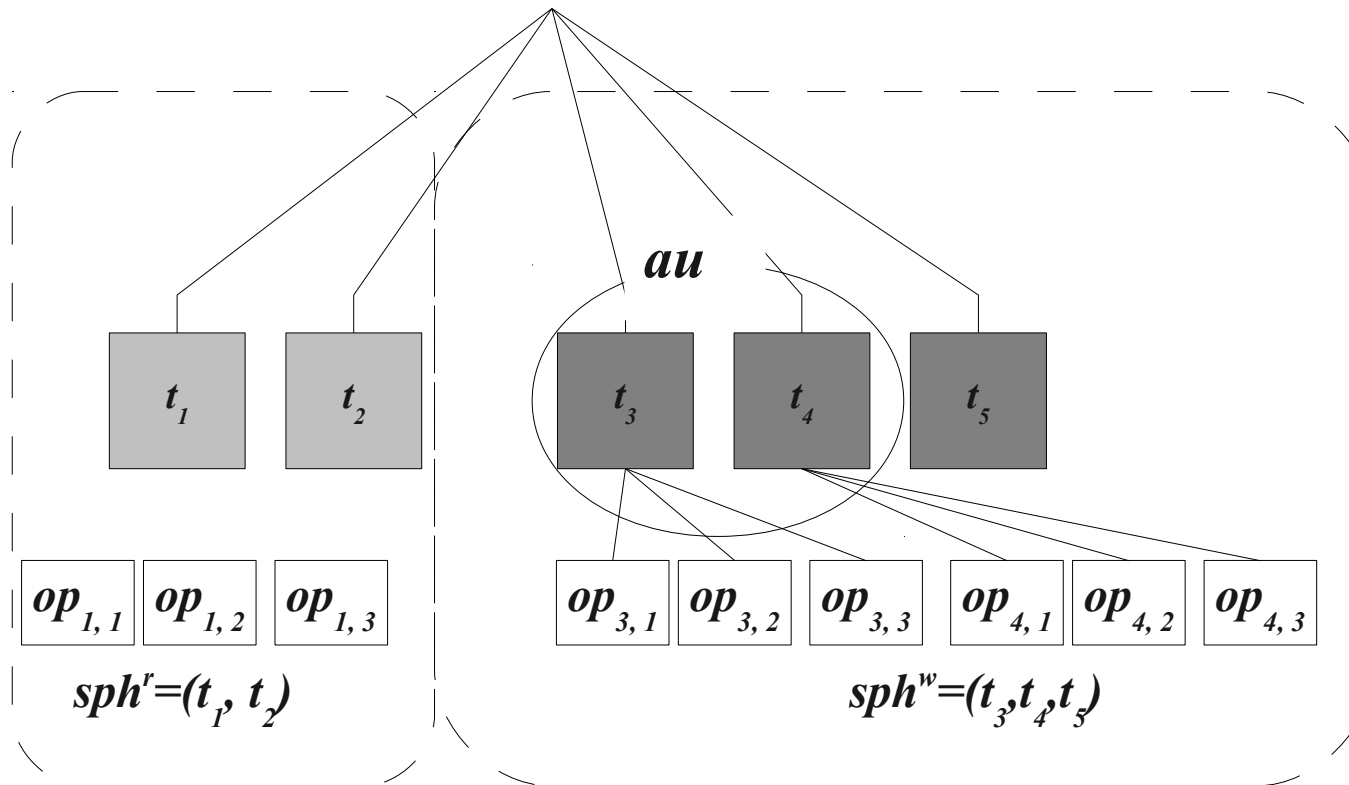
Component Type:

...

?

# Derive $CR$ based on a transaction type

## Problem: granularity



### Problem:

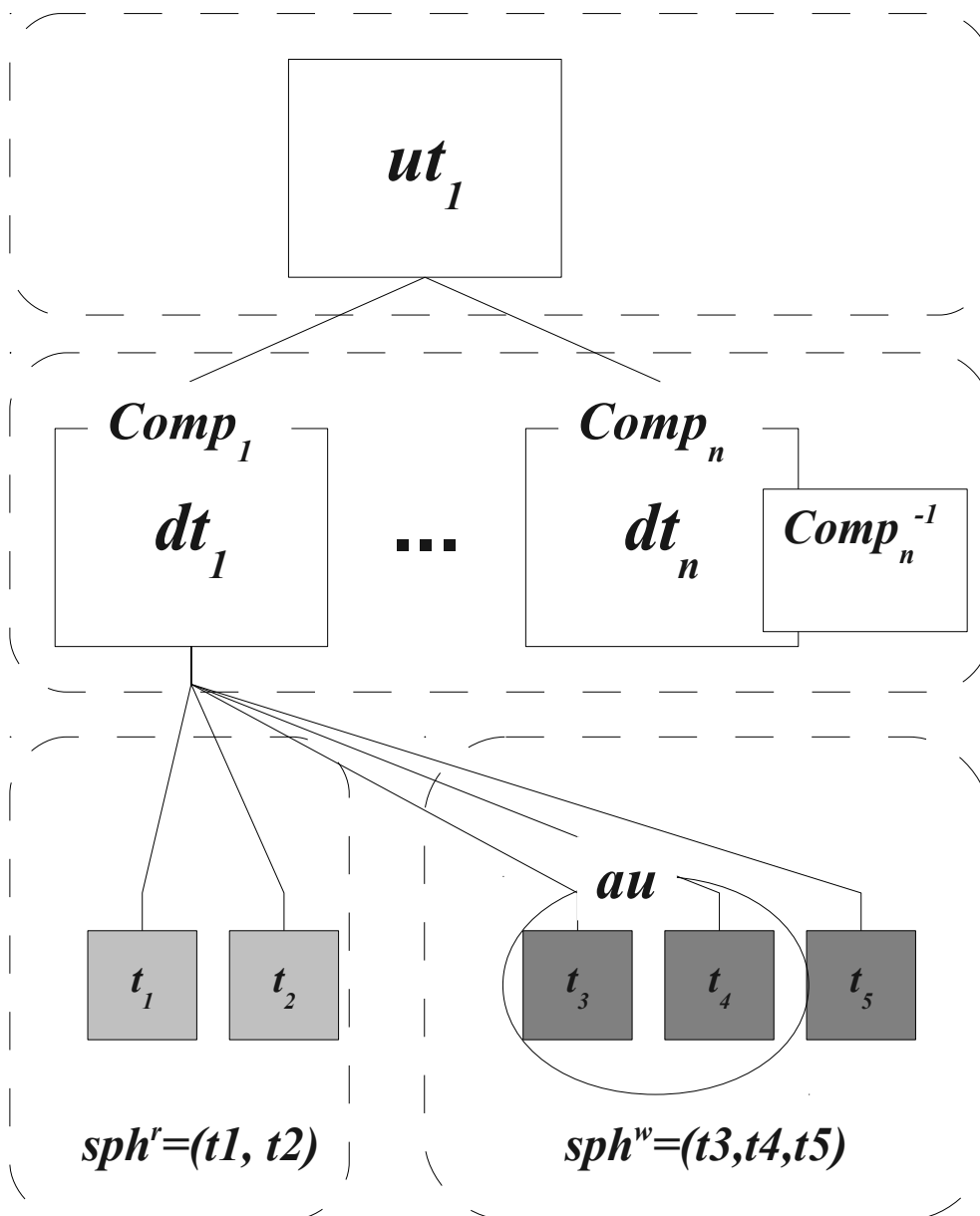
$opType(op_{3,1}) = Escrow$

$opType(op_{3,2}) = Update$

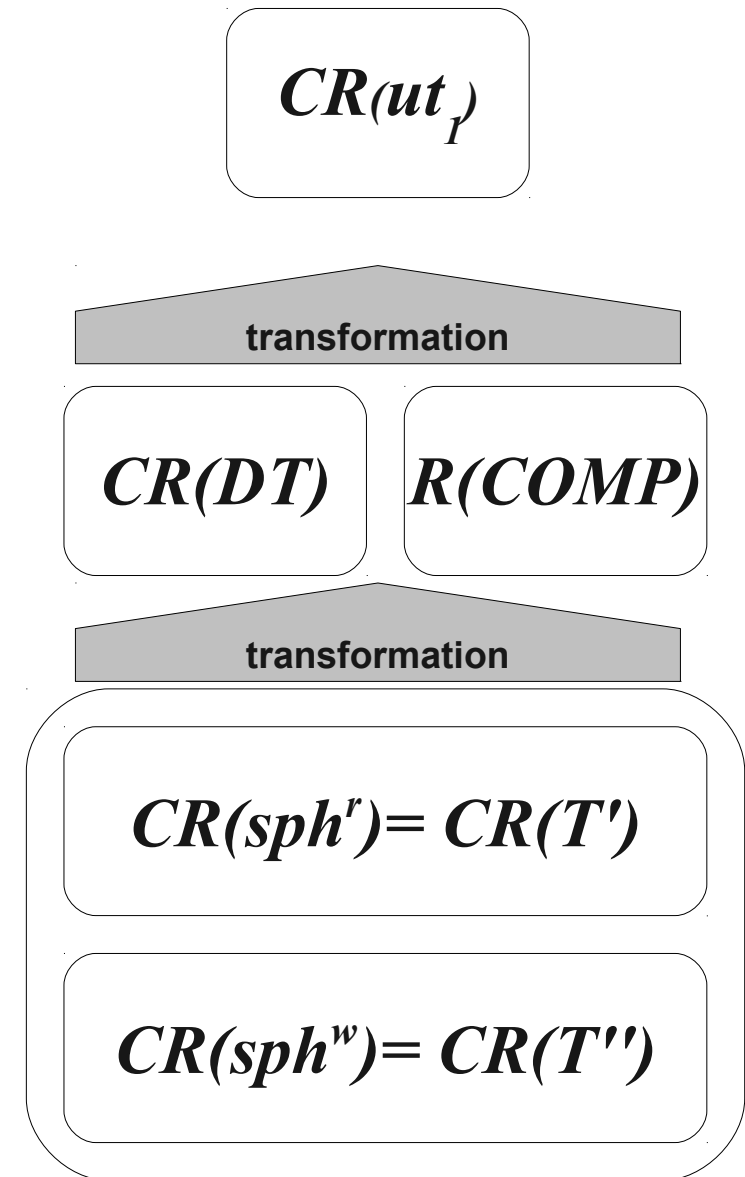
$\rightarrow tType(t_3)?$

# Derive $CR$ based on a transaction type

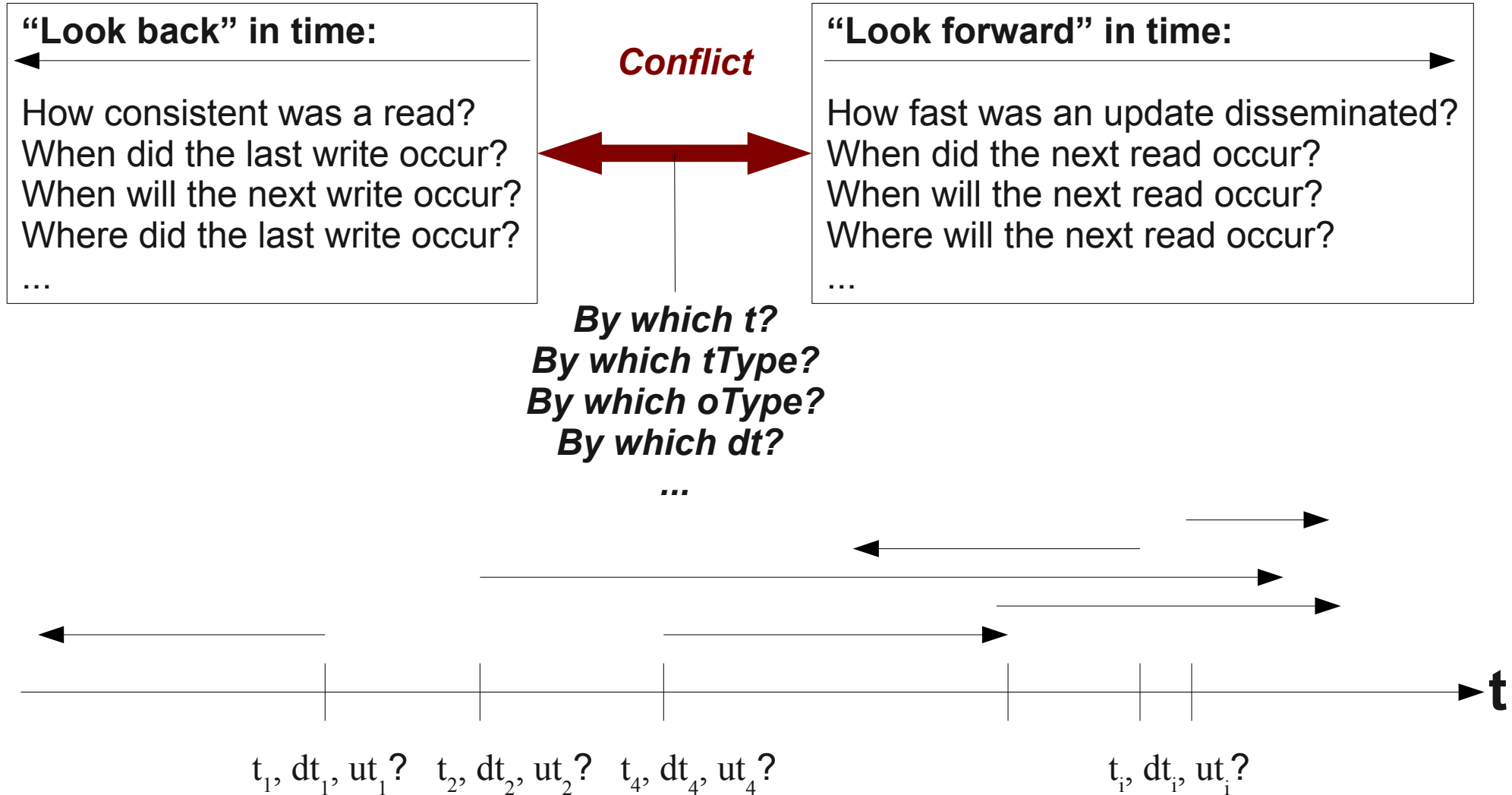
## Problem: transformation



COMPOSITION



# An idea to quantify Time (and order)



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[Lessner, 2011] Tim Lessner, Fritz Laux, Thomas Connolly, Cherfi Branki, Malcolm Crowe, and Martti Laiho. An Optimistic Transaction Model for a Disconnected Integration Architecture, The Third International Conference on Advances in Databases, Knowledge, and Data Applications (DBKDA 2011), ACCEPTED

# Thank you!

