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# **DBTech EXT**

## **Virtual Laboratory Workshop on Concurrency Control in Databases**

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ADBIS 2010, Novi Sad, Serbia, 21-24.9.2010

### **Disclaimer**

This project has been funded with support from the European Commission. This publication [communication] reflects the views only of the authors, and the Commission cannot be held responsible for any use which may be made of the information contained therein.



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***DBTech EXT** member 2009-*



# Workshop on Concurrency Control in Databases

## How ?

- Using the free **DBTech Virtual Laboratory Workshop** (*available on the DBTechNet portal, <http://dbtech.uom.gr>*)

## Why ?

- To provide learners with **hands-on experiments** on the basic concurrency control issues (normally learned from textbooks)
- To provide learners with basic **knowledge and skills necessary in solving typical concurrency related problems** when using a mainstream RDBMS product

## What ?

- A **set of 4 laboratories** (*the first one is already available*) with tutorials, review questions, lab instructions, lab tasks, and virtual laboratory environments
- Focusing on the CC implementations in the following **mainstream RDBMS products**: DB2, Oracle, and SQL Server



## How? / CC VLW on the DBTechNet Portal


Concurren... Control & Recovery Virtual Laboratory Workshop (CC&R VLW) - Mozilla Firefox

Edit View History Bookmarks Tools Help

http://dbtech.uom.gr/course/view.php?id=9

adbis 2010 HAAGA-HELIA UAS DBTechNet.org DBTech EXT Project DBTechNet Portal

Concurren... Control & Recover...



### Concurrency Control & Recovery Virtual Laboratory Workshop (CC&R VLW)

DBTechNet ▶ CCVLW101

You are currently using guest access (Login)

Activities Administration Unit categories

#### Topic outline

- Concurrency Control Virtual Laboratory Workshops
- News forum
- [Your starting point: CC VLWs RoadMap](#)

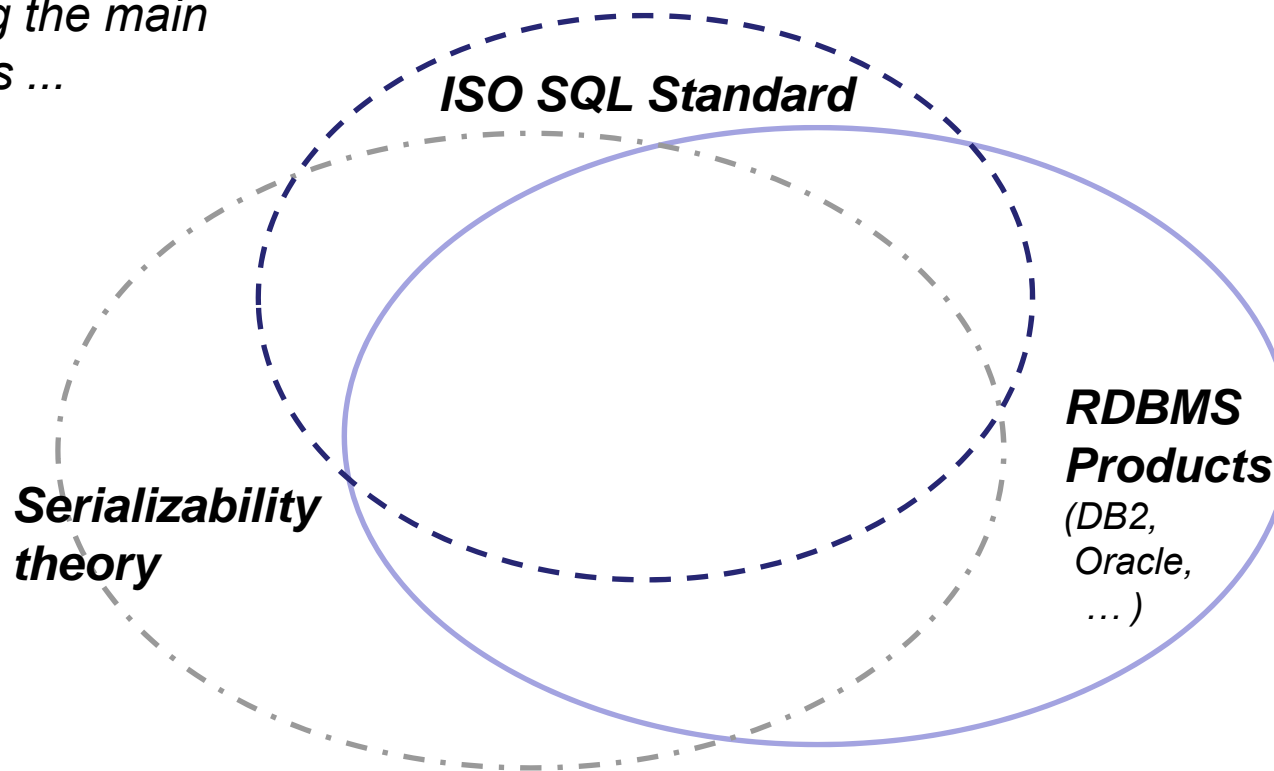
2

Latest News Upcoming Events Recent Activity



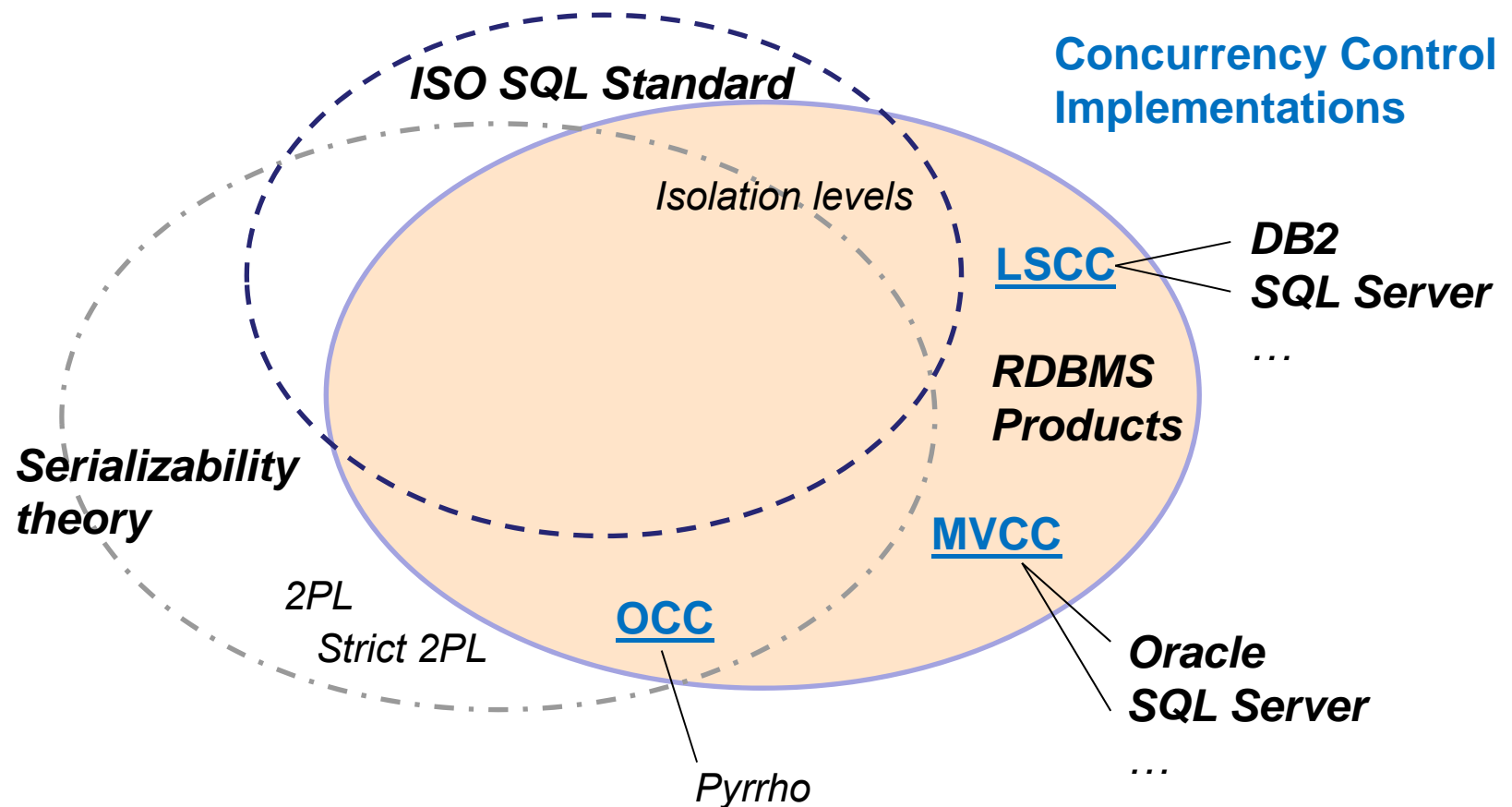
# Why? / Transaction Concurrency Coverage

*The workshop starts  
with a tutorial for  
clarifying the main  
concepts ...*





# Concurrency Control of Transactions



*Preserving Database Integrity?  
Performance?*



# CC Implementations in RDBMS Products

Typical concurrency control (CC) implementations in RDBMS products:

## **Locking Scheme** *Concurrency Control (LSCC)*

- Locks on multi-granular structures, indexes, schemas
- In a competition situation, the winner depends on current locks
- If a deadlock occurs, the deadlock detector chooses the victim

## **Multi-Versioning** *Concurrency Control (MVCC)*

- In a competition situation, first writer wins

## **Optimistic** *Concurrency Control (OCC)*

- In a competition situation, first one to COMMIT wins



# Locking Scheme Concurrency Control (LSCC)

- Sample variants of multi-granular lock compatibility matrices

*Lock granules:*

*database*

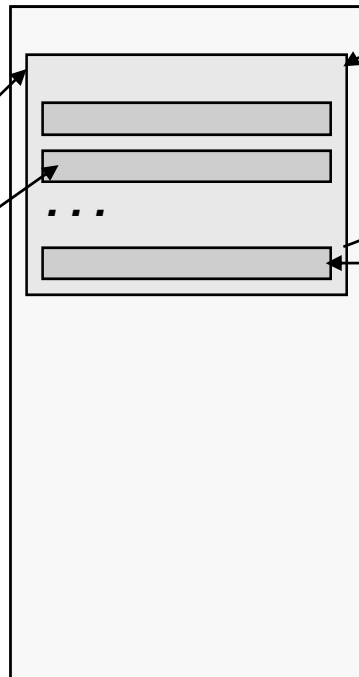
*(tablespace)*

*table*

*(extent)*

*page*

*row*



Lock requested:	Lock already granted to some other process				
	IS	IX	S	SIX	X
IS	grant	grant	grant	grant	wait
IX	grant	grant	wait	wait	wait
S	grant	wait	grant	wait	wait
SIX	grant	wait	wait	wait	wait
X	wait	wait	wait	wait	wait

$$SIX = S + IX$$

1. Intent locks  
IS for S on row  
IX for X on row
2. Lock on row



Lock requested:	Lock already granted to some other process			
	none	S	U	X
S	grant	grant	grant <sup>3</sup>	wait
U	grant	grant	wait	wait
X	grant	wait	wait	wait

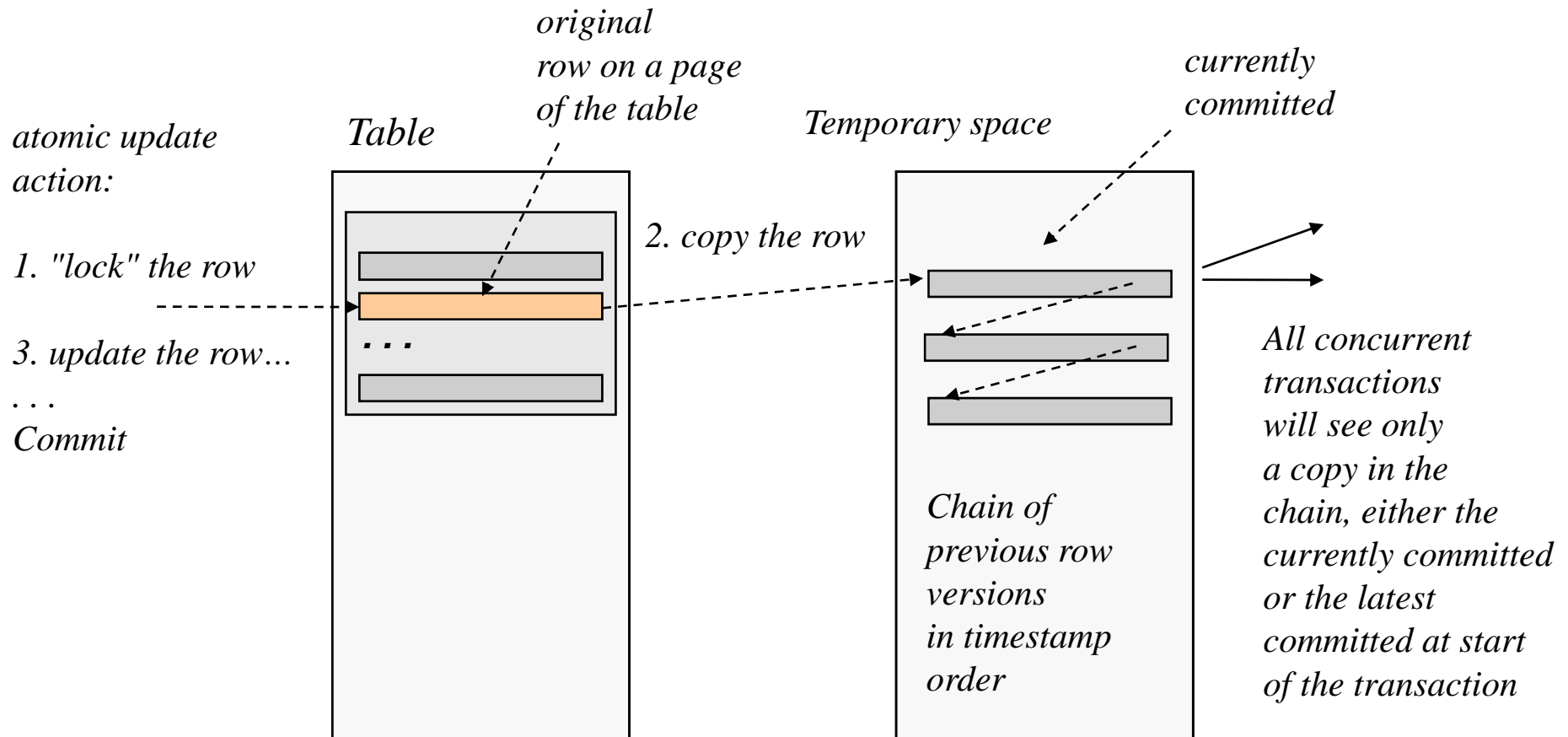
**Shared locks (S)** allow reading.  
**eXclusive locks (X)** allow writing and are kept up to end of transaction eliminating lost updates.

*Other locks on index ranges, schemas*



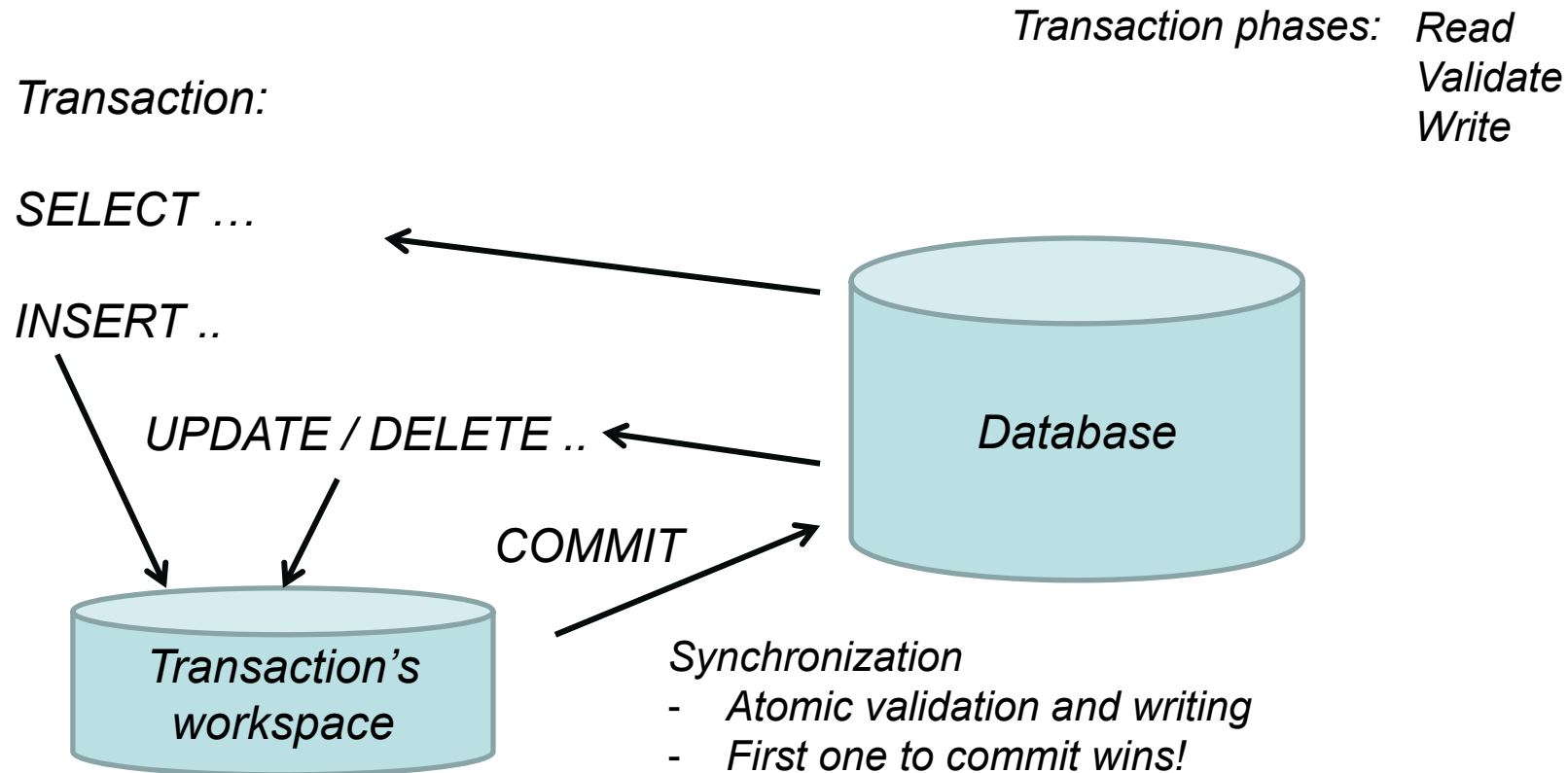


# Multi-Versioning Concurrency Control (MVCC)





# Optimistic Concurrency Control (OCC)



*ROLLBACK ?*  
*≈ just forget the workspace!*

*Server-side OCC provides strict isolation,  
but it has not been implemented in any  
commercial mainstream RDBMS product !*



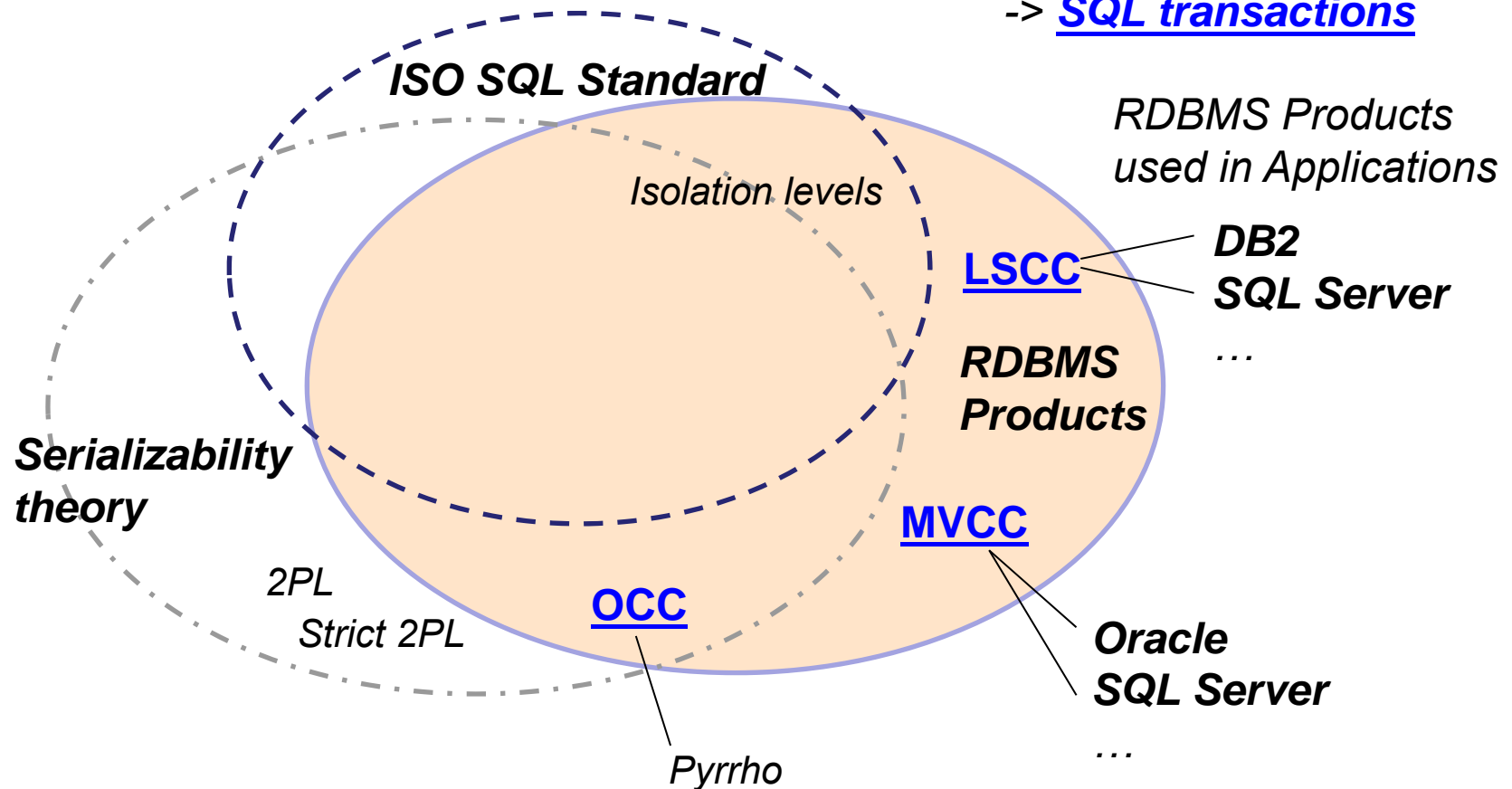
# Concurrency Control and **Context of Transactions**

*Stand-alone SQL transactions  
comprise basic knowledge & skills, but ...*

*Real world **Business Transactions**  
run in Applications*

*-> **User Transactions***

*-> **SQL transactions***

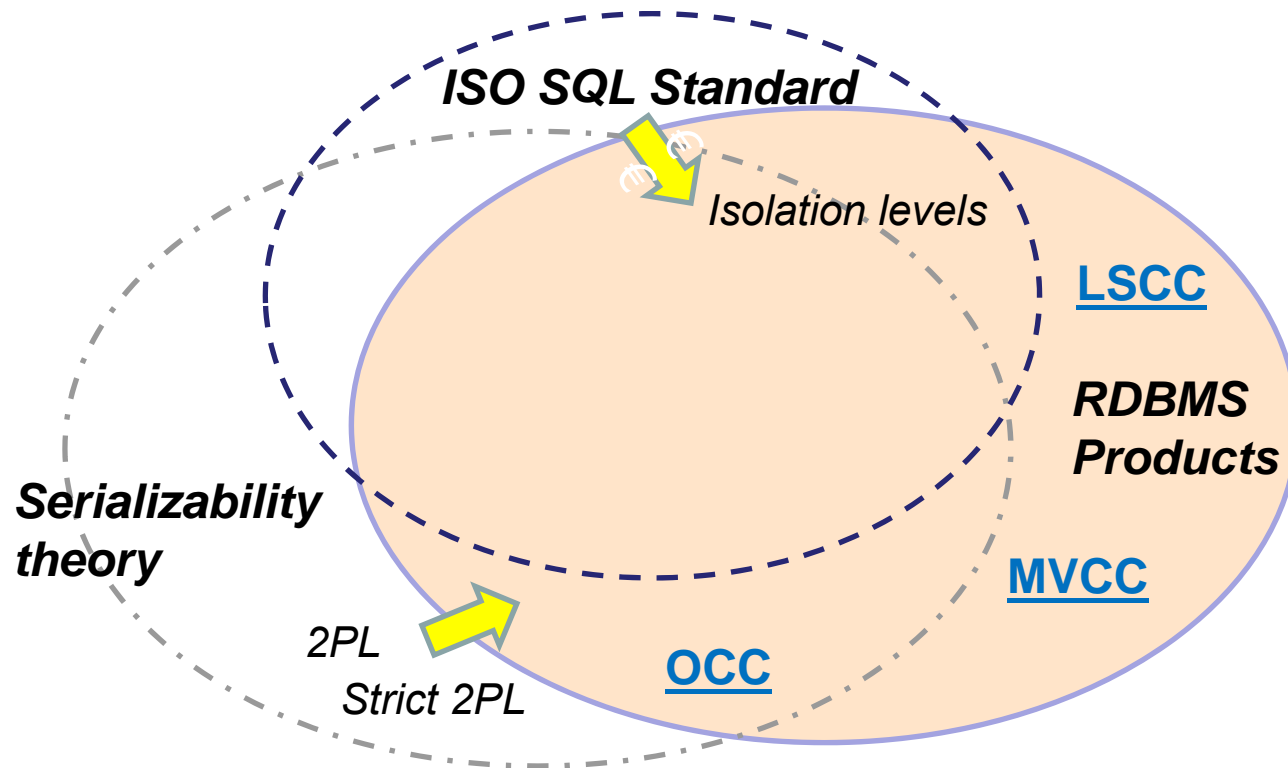




# Patterns and Best Practices ?

*Software Engineering View:*

By studying applications we can find generic patterns of user transactions and best practices for application development for the industry





# What ? / Concurrency Control Labs

## DBTech EXT Concurrency Labs (CC Labs 1 - 4)

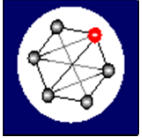
### CC Lab 1: Isolation Levels and Concurrency Technologies

#### **Tutorial** on SQL Concurrency Technologies

- Introducing the basic concurrency concepts and problems
- Introducing the concurrency services and problems of the mainstream RDBMS products used by the industry

#### **Hands-on Lab** (*also suitable for self-study*)

- Executing SQL scripts (in DB2, Oracle, SQL Server, Pyrrho) to
  - Create the sample database to be used in the lab
  - Study basics of transaction isolation levels and study how the DBMS behaves when different kinds of concurrency conflicts occur
  - Study differences between concurrency control implementations in RDBMS products



# Concurrency Control Labs

*(Under construction...)*

## CC Lab 2: Multiuser Environment with TPC-A Transactions

### Hands-on Lab

- Using DBTechNet software (written in Java) that makes it possible to observe and study
  - Concurrency conflicts, problems, and performance issues
  - Differences between RDBMS products (accessed via JDBC)
  - Transaction isolation levels
  - Client-Side SQL vs. Stored Procedures
  - etc.
- Uses TPC-A Benchmark Database with sample data
- Two different lab implementations
  - **TPCA Lab:** Multiple concurrent learners execute single threads
  - **TPCA 2.1 Lab:** Single learner executes multiple concurrent threads



## CC Lab 2: TPC-A Lab

TR Client - SQL Server 2005 <server> [jdbc:microsoft:sqlserver://rolle:1433]

File Data Source Help

**TEST PARAMETERS FOR** TPCA\_transaction

**Number of transactions**

Number of transactions in a test run: 1

Number of test runs: 1

**Connections**

☒ Open and close a connection for every transaction

**Concurrency control**

**Isolation level**

☒ Read Committed ☐ Repeatable Read

**Locking**

☐ Lock the selected rows: Vendor-specific select for upd...

**Serialization failure handling**

Maximum number of transaction restarts: 0

**Debug**

☐ Print debug messages ☐ Test using a single hot s...

**TEST RESULTS**

**Current test**

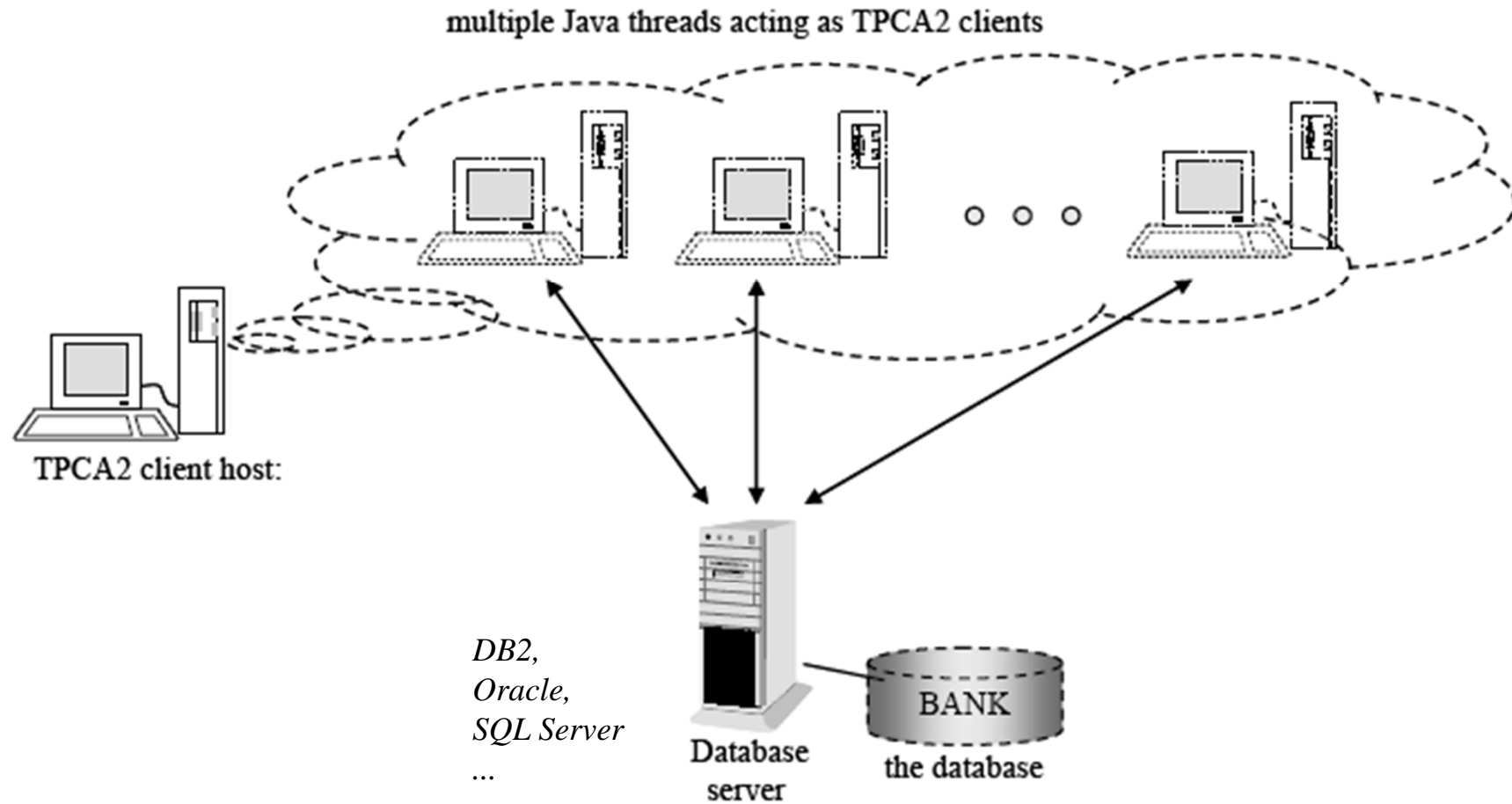
Transactions	First	Second	Third
Committed:			
Failed:			
Restarted transactions:			
Total of restarts:			
First connect time:			
Execution time (seconds)			
Total:			
Average:			
TPS:			

**Previous tests**

Change Data Source New test RUN



## CC Lab 2: TPCA 2.1

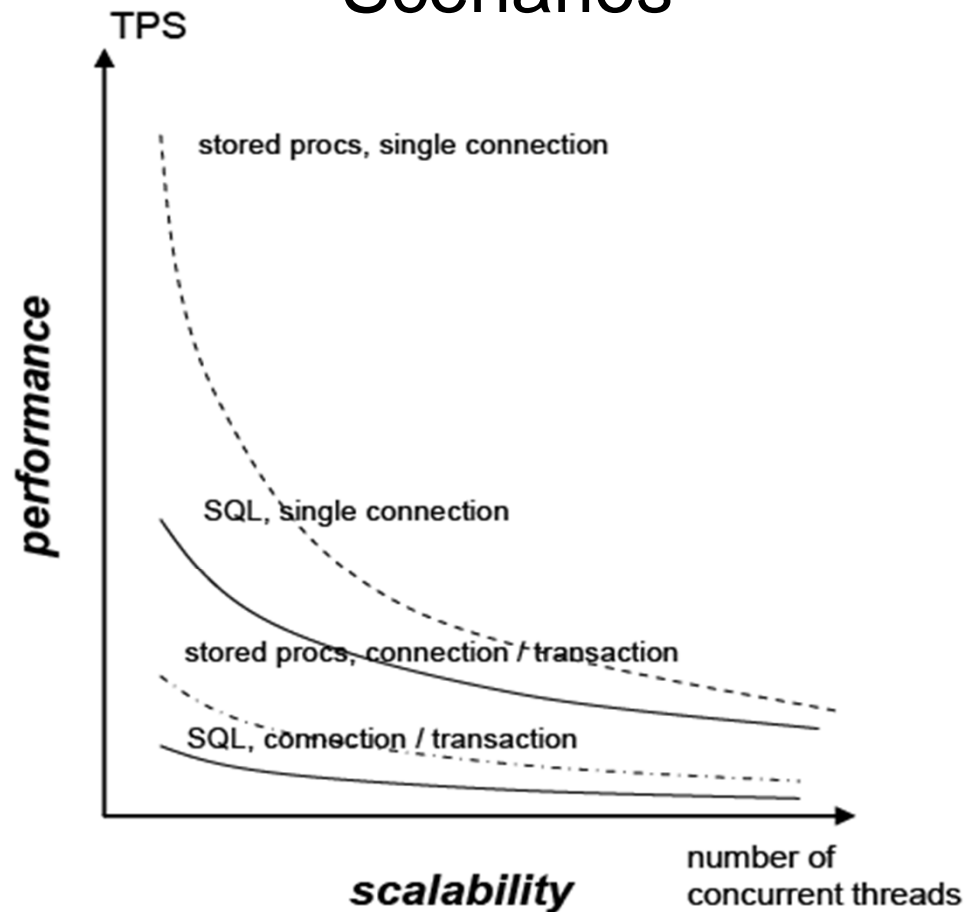


TPCA2 client host using multithreading for client connections





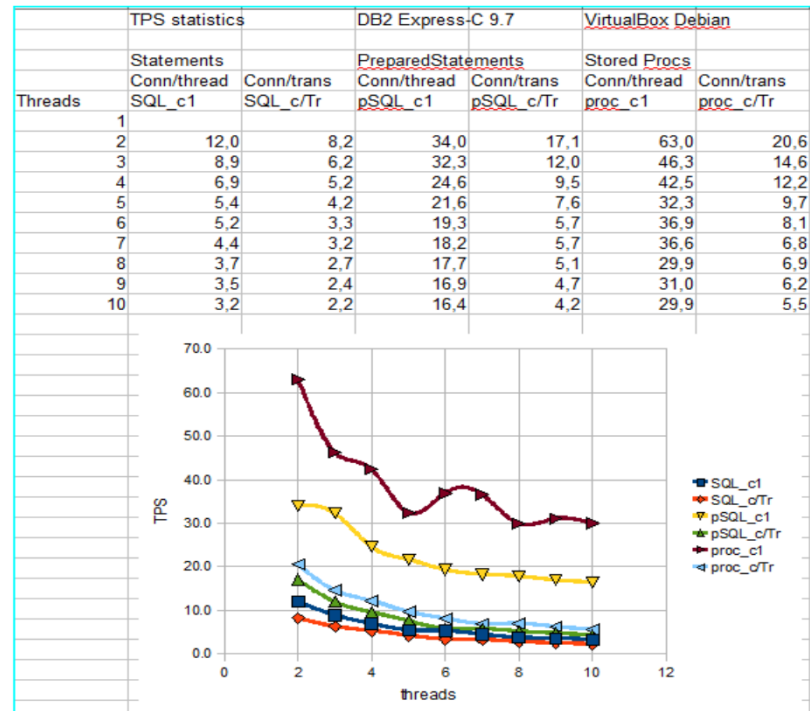
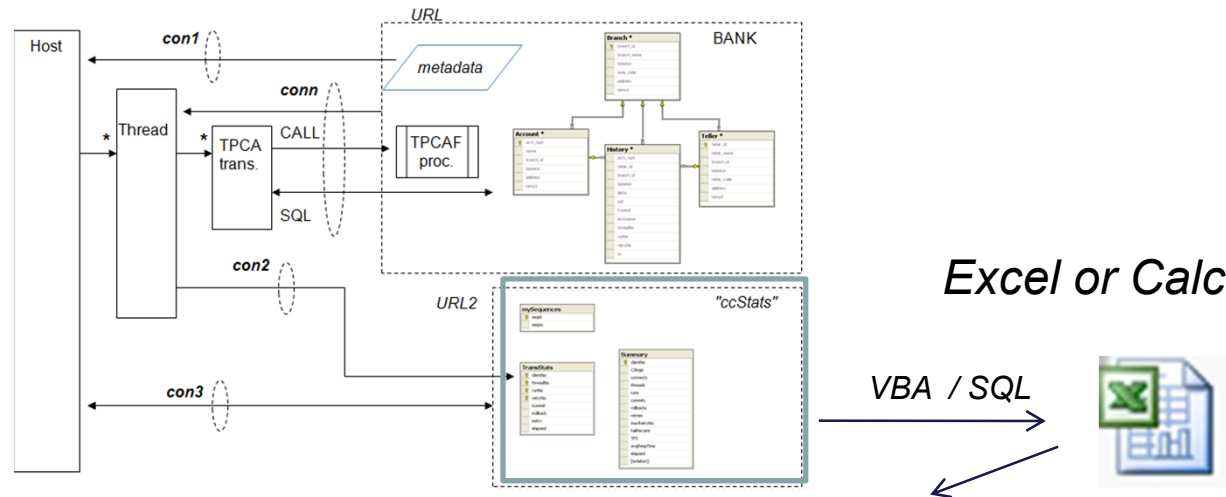
## CC Lab 2: Expected Performance/Scalability Scenarios



Hypothetical Performance/Scalability scenarios of some DBMS on depending on number of concurrent TPCA transactions (and threads)



## CC Lab 2: Analyzing the Results





# Concurrency Control Labs

*(Under construction...)*

## **CC Lab 3: Data Access Patterns and RVV Discipline**

Tutorial

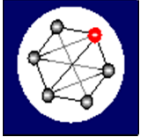
- Data Access in Modern Application Architectures
- Approaches, services and programming technologies for **row version control** in DB2, Oracle, and SQL Server environment

Lab

- Row Version Verifying (RVV) Data Access Discipline for avoiding Blind Overwriting of Data

## **CC Lab 4: Distributed Transactions**

- *To be developed in the future...*



## To sum it up...

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Thank you for your attention!